645 646	Contributors
040 647	
648	
649	
650	
651	
652	
653	
654	
655	
56	
58	
i9 i0 i1	E.H. Aassif LMTI, Faculty of Science, Ibn Zohr University, Agadir, Morocco, aassifh@menara.ma
2 3	L. Airoldi School of Aerospace Engineering, Georgia Institute of Technology, Atlanta, USA, luca.airoldi@gatech.edu
5 6 7	R. Akkerman Engineering Technology, Production Technology, University of Twente, P.O. Box 217, 7500AE, Enschede, The Netherlands, r.akkerman@utwente.nl
8 9 0	B. Beck G.W. Woodruff School of Mechanical Engineering, Georgia Institute of Technology, Atlanta, USA, benbeck@gatech.edu
2	J. Belinha Institute of Mechanical Engineering—IDMEC, Rua Dr. Roberto Frias, 4200-465 Porto, Portugal, jorge.belinha@fe.up.pt
	A. de Boer Engineering Technology, Applied Mechanics and Acoustics, University of Twente, P.O. Box 217, 7500AE, Enschede, The Netherlands, a.deboer@utwente.nl
	L.C. Cardoso INEGI, Universidade do Porto, Campus da FEUP, R. Dr. Roberto Frias 400, 4200-465 Porto, Portugal, luis.carlos.cardoso@fe.up.pt
	F. Casadei School of Aerospace Engineering, Georgia Institute of Technology, Atlanta, USA, filippo.casadei@gatech.edu
	G. Cazzulani Mechanical Engineering Department, Politecnico di Milano, Via La Masa 1, 20156 Milan, Italy, gabriele.cazzulani@mail.polimi.it
	M. Collet Department of Applied Mechanics, FEMTO-ST UMR 6174, Besançon, France, manuel.collet@univ-fcomte.fr
	K.A. Cunefare G.W. Woodruff School of Mechanical Engineering, Georgia Institute of Technology, Atlanta, USA, ken.cunefare@me.gatech.edu

- L.M.J.S. Dinis Faculty of Engineering of the University of Porto—FEUP, Rua Dr.
 Roberto Frias, 4200-465 Porto, Portugal, ldinis@fe.up.pt
- M. Ferrari Mechanical Engineering Department, Politecnico di Milano, Via La
 Masa 1, 20156 Milan, Italy, matteo.ferrari@mail.polimi.it
- ⁶⁹⁶ E. Foltête FEMTO-ST Institute, Applied Mechanics, University of
 ⁶⁹⁷ Franche-Comté, 25000 Besançon, France, emmanuel.foltete@univ-fcomte.fr
- C. Ghielmetti Mechanical Engineering Department, Politecnico di Milano, Via La
 Masa 1, 20156 Milan, Italy, christian.ghielmetti@mecc.polimi.it
- H. Giberti Mechanical Engineering Department, Politecnico di Milano, Via La
 Masa 1, 20156 Milan, Italy, hermes.giberti@polimi.it
- L. Gil Espert Laboratori per a la Innovació Tecnològica d'Estructures i Materials, Universitat Politècnica de Catalunya, C/Colon, 11 TR45, 08225 Terrassa, Barcelona, Spain, lluis.gil@upc.edu
- M. Laaboubi LMTI, Faculty of Science, Ibn Zohr University, Agadir, Morocco,
 laaboubi@gmail.com
- A.A. Lakis École Polytechnique, Montréal, QC, H3C 3A7, Canada,
 ouni.lakis@polymtl.ca
- **R. Latif** ESSI, National School of Applied Science, Ibn Zohr University, Agadir, Morocco, latif@ensa-agadir.ac.ma
- **R. Loendersloot** Engineering Technology, Applied Mechanics and Acoustics, University of Twente, P.O. Box 217, 7500AE, Enschede, The Netherlands,
 r loondorshot @utwante.rl
- r.loendersloot@utwente.nl
- L. Marcouiller Institut de Recherche Hydro Québec, Varennes, QC, J3X 1S1,
 Canada, marcouiller.luc@ireq.ca
- G. Maze LOMC, Le Havre University, Le Havre, France,
 gerard.maze@univ-lehavre.fr
- **R.M. Natal Jorge** Faculty of Engineering of the University of Porto—FEUP, Rua
 Dr. Roberto Frias, 4200-465 Porto, Portugal, rnatal@fe.up.pt
- T.H. Ooijevaar Engineering Technology, Production Technology, University of
 Twente, P.O. Box 217, 7500AE, Enschede, The Netherlands,
- ⁷²⁸ t.h.ooijevaar@utwente.nl
- M. Ouisse Department of Applied Mechanics, FEMTO-ST UMR 6174, Besançon,
 France; FEMTO-ST Institute, Applied Mechanics, University of Franche-Comté,
 25000 Besançon, France, morvan.ouisse@univ-fcomte.fr
- ⁷³³ M.A. Pérez Martínez Department of Strength of Materials and Structures, Uni-
- versitat Politècnica de Catalunya, C/Colon, 11 TR45, 08225 Terrassa, Barcelona,
 Spain, marco.antonio.perez@upc.edu
- 736

737	P Poletti Department of Sonology Escola Superior de Música de Catalunya
738	C/Padilla, 155, 08013 Barcelona, Spain, paul@polettipiano.com
739 740 741	F. Resta Mechanical Engineering Department, Politecnico di Milano, Via La Masa 1, 20156 Milan, Italy, ferruccio.resta@polimi.it
742 743	F. Ripamonti Mechanical Engineering Department, Politecnico di Milano, Via La Masa 1, 20156 Milan, Italy, francesco.ripamonti@polimi.it
744 745 746	M. Ruzzene School of Aerospace Engineering, Georgia Institute of Technology, Atlanta, USA, massimo.ruzzene@aerospace.gatech.edu

A.S. Sarıgül Department of Mechanical Engineering, Dokuz Eylül University,
 35100 Bornova, Izmir, Turkey, saide.sarigul@deu.edu.tr

A. Seçgin Department of Mechanical Engineering, Dokuz Eylül University, 35100
 Bornova, Izmir, Turkey, abdullah.secgin@deu.edu.tr

M. Thomas École de Technologie Supérieure, 1100 Notre Dame West, Montréal,
 QC, H3C 1K3, Canada, marc.thomas@etsmtl.ca

C.M.A. Vasques INEGI, Universidade do Porto, Campus da FEUP, R. Dr. Roberto
 Frias 400, 4200-465 Porto, Portugal, cmav@fe.up.pt

V.-H. Vu École de Technologie Supérieure, 1100 Notre Dame West, Montréal, QC,
 H3C 1K3, Canada, viethung.vu.1@ens.etsmtl.ca

L. Warnet Engineering Technology, Production Technology, University of Twente,
 P.O. Box 217, 7500AE, Enschede, The Netherlands, l.warnet@utwente.nl

•		
7	66	
'	00	

599	11	Identification of Reduced Models from Optimal Complex
600		Eigenvectors in Structural Dynamics and Vibroacoustics 303
601		M. Ouisse and E. Foltête
602		11.1 Introduction
603		11.2 Overview of the State of the Art
604		11.3 Properness Condition in Structural Dynamics
605		11.3.1 Properness of Complex Modes
606		11.3.2 Illustration of Properness Impact on Inverse Procedure 307
607		11.3.3 Properness Enforcement
608		11.3.4 Experimental Illustration
609		11.4 Extension of Properness to Vibroacoustics
610		11.4.1 Equations of Motion
611		11.4.2 Complex Modes for Vibroacoustics
612		11.4.3 Properness for Vibroacoustics
613		11.4.4 Methodologies for Properness Enforcement
614		11.4.5 Numerical Illustration
615		11.4.6 Experimental Test-Case
616		11.5 Prospects for the Future
617		11.6 Summary
618		11.7 Selected Bibliography
619		References
620		
621		
622		
623		
625		
626		
627		
628		
629		
630		
631		
632		
633		
634		
635		
636		
637		
638		
639		
640		
641		
642		
643		

1151	Fig. 10.17	Optimal shunt capacitance for reflection optimization (C-shunt) . 292
1152	Fig. 10.18	Optimal shunt resistance for reflection optimization (RC-shunt) . 292
1153	Fig. 10.19	Criterion value vs. freq. for transmission optimization with RC
1154		shunt
1155	Fig. 10.20	10 cells damped power function for D_{10}
1156	Fig. 10.21	Criterion value vs. freq. for transmission optimization with RC
1157		shunt
1158	Fig. 10.22	10 cells damped power function: D_{10}
1159	Fig. 11.1	Impact of noise on eigenvectors on properness norm
1160	Fig. 11.2	Impact of noise on eigenvectors on error on identified matrices . 308
1161	Fig. 11.3	Eigenvectors of the first mode in complex plane: initial shapes
1162		(dashed line), modified shapes (continuous line) and proper
1163		shapes (dashdot line) 309
1164	Fig. 11.4	Eigenvectors of the second mode in complex plane: initial
1165		shapes (dashed line), modified shapes (continuous line) and
1166		proper shapes (dashdot line)
1167	Fig. 11.5	Eigenvectors of the third mode in complex plane: initial shapes
1168		(dashed line), modified shapes (continuous line) and proper
1169		shapes (<i>dashdot line</i>)
1170	Fig. 11.6	Eigenvectors of the fourth mode in complex plane: initial shapes
1171		(dashed line), modified shapes (continuous line) and proper
1172		shapes (<i>dashdot line</i>)
1173	Fig. 11.7	Experimental test-case: two bending beams coupled by common
1174		clamping device
1175	Fig. 11.8	Comparison of measured and synthesized FRF11 312
1176	Fig. 11.9	Comparison of measured and synthesized FRF12 313
1177	Fig. 11.10	Comparison of measured and synthesized FRF22 314
1178	Fig. 11.11	Methodologies for properness enforcement on numerical test-case 322
1179	Fig. 11.12	Methodologies for properness enforcement on guitar
1180		measurements
1181		
1182		
1183		
1184		
1185		
1186		
1187		
1188		
1189		
1190		
1191		
1192		
1193		
1194		
1195		
1196		

Chapter 11 Identification of Reduced Models from Optimal Complex Eigenvectors in Structural Dynamics and Vibroacoustics

M. Ouisse and E. Foltête

12 Abstract The objective of this chapter is to present some efficient techniques for identification of reduced models from experimental modal analysis in the fields of 13 14 structural dynamics and vibroacoustics. The main objective is to build mass, stiff-15 ness and damping matrices of an equivalent system which exhibits the same be-16 havior as the one which has been experimentally measured. This inverse procedure 17 is very sensitive to experimental noise and instead of using purely mathematical 18 regularization techniques, physical considerations can be used. Imposing the socalled properness condition of complex modes on identified vectors leads to matri-19 ces which have physical meanings and whose behavior is as close as possible to the 20 measured one. Some illustrations are presented on structural dynamics. Then the 21 methodology is extended to vibroacoustics and illustrated on measured data. 22 23

24

7 8

9 10 11

²⁵ 11.1 Introduction

27 Being able to identify reduced physical models can help designers to understand 28 the behavior of the system in a given frequency range, and orient design decisions 29 in order to reach a given objective. Performing model reduction is quite usual in the field of numerical analysis [14, 29], in this case the objective is to find a model 30 31 with a reduced number of degrees of freedom, which can be deduced from a large 32 model, in order that the reduced model exhibits the same behavior as the full one 33 in a frequency band of interest. An alternative to this model-based methodology could be based on experimental measurements. The basic idea is to identify from 34 35 measurements the matrices describing the behavior of the system in order to help 36 the designer to make proper decisions. The main difficulty in this kind of analysis 37 is related to the very bad conditioning of the inverse procedure, since experimental 38 conditions induce noise in the data, resulting in large changes in the final identified system matrices, in particular for the damping terms. 39

40 41

C.M.A. Vasques, J. Dias Rodrigues (eds.), *Vibration and Structural Acoustics Analysis*, 303 DOI 10.1007/978-94-007-1703-9_11, © Springer Science+Business Media B.V. 2011

M. Ouisse (🖂) · E. Foltête

M. Oursse (⊠) · E. Foltete
 FEMTO-ST Institute, Applied Mechanics, University of Franche-Comté, 25000 Besançon, France
 e-mail: morvan.ouisse@univ-fcomte.fr

⁴⁵ E. Foltête

e-mail: emmanuel.foltete@univ-fcomte.fr

47 Several approaches have been proposed throughout the last decades to regularize 48 the inverse problem on the field of structural dynamics. A brief overview of the state of the art is given in Sect. 11.2. Section 11.3 is dedicated to the so-called proper-49 ness condition for structural dynamics and can be considered as a tutorial section. 50 Original illustrations are presented to help the reader to understand the importance 51 of the condition. An experimental test-case is given to illustrate application of the 52 methodology on a real structure, on which a reduced model is directly derived from 53 the experimental data. In Sect. 11.4, the properness condition is extended to vibroa-54 coustics and new results about optimal correction of vibroacoustic complex modes 55 are given. Several corrections techniques are described and illustrated on experi-56 mental data coming from vibroacoustic measurements on a guitar. Section 11.5 is 57 dedicated to prospectives: some comments are given about the structural dynamics 58 applications of the methodology, and some suggestions are given for improvement 59 of the methodology concerning vibroacoustic applications. Section 11.6 gives some 60 conclusions and a summary of the work presented in this chapter. The bibliography 61 and a selection of additional references are finally given at the end of the chapter. 62 63

11.2 Overview of the State of the Art

Identification of analytical models from measurements in structural dynamics is
 still an open question, in particular concerning the damping terms. Both stiffness
 and mass can be derived quite easily from models, or even from experiments with
 reasonable confidence. As far as the dissipative effects are concerned, there is still
 no consensus about the most reliable technique to obtain a physical description of
 damping which can be efficient for simulation.

In this chapter we will mainly focus on techniques based on experimental data, 74 that allow identification of second-order matrices corresponding to classical stiff-75 ness, mass and viscous damping terms of multi-degrees of freedom models. This 76 topic has shown a growing interest over the last decades. The fundamental book 77 from Lord Rayleigh [34] includes some considerations about sensitivity of eigen-78 frequencies and eigenvectors which are of first interest for system identification. 79 Damping aspects have been at the center of several works, among which the fa-80 mous papers from Caughey [11] including considerations about normal and com-81 plex modes, which are of first importance in the context of interest. 82

Some review papers have been published [10, 15, 20], including many references 83 to important works on damping related aspects. More recently, some papers have fo-84 cused on the particular case of damping identification from measurement [33, 35, 85 36]. In these papers, the authors exhibit a large set of available methods, starting 86 either from Frequency Response Functions (FRFs) or modal data to identify at least 87 the damping matrix. These methods are applied and compared on given test-cases. 88 An interesting point is that these papers do not lead to the same conclusions concern-89 ing the efficiency of the techniques for practical applications, which clearly means 90 that there is still some work to do, even if among the available methods, some of 91 them can provide quite confident results. 92

64 65

93 One of the ways to obtain the system matrices is to start from identified complex 94 modes. This chapter will be limited to this case, and will focus on a particular point, called properness condition, which is not addressed in the review papers referenced 95 96 above. This condition, which has been mentioned in several publications [9, 22, 24, 97 41], is automatically verified by the exact complex modes of the system. When a full 98 basis is extracted from experimental data to reconstruct a physical model, this con-99 dition should be enforced on the complex modes to obtain physical results. Balmès 100 [7] has proposed a methodology to find optimal complex vectors which are as close 101 as possible as initial identified vectors, while verifying the properness condition. 102 Another way to obtain optimal complex vectors from measured ones has been pro-103 posed by Adhikari [1], but this method requires the knowledge of real modes, which 104 is not necessarily the case in practical applications.

105 106 107

108 109

111

112

11.3 Properness Condition in Structural Dynamics

¹¹⁰ The very classical matrix formulation used for structural dynamics is

$$\mathbf{M}\ddot{\mathbf{q}}(t) + \mathbf{C}\dot{\mathbf{q}}(t) + \mathbf{K}\mathbf{q}(t) = \mathbf{f}(t), \qquad (11.1)$$

where $\mathbf{q}(t)$ is the vector of generalized displacements of the structure, **M** is the mass matrix of the structure, **K** is the stiffness matrix of the structure, **C** represents viscous losses and $\mathbf{f}(t)$ is the vector representing the generalized forces on the structure. One way to solve the system in Eq. (11.1) for steady-state harmonics is to use modal decomposition. This can be done using the space-state representation of the system,

 $\mathbf{U} = \begin{bmatrix} \mathbf{C} & \mathbf{M} \\ \mathbf{M} & \mathbf{0} \end{bmatrix}, \qquad \mathbf{A} = \begin{bmatrix} -\mathbf{K} & \mathbf{0} \\ \mathbf{0} & \mathbf{M} \end{bmatrix}, \qquad \mathbf{Q}(t) = \begin{cases} \mathbf{q}(t) \\ \dot{\mathbf{q}}(t) \end{cases},$

$$\mathbf{U}\dot{\mathbf{Q}}(t) - \mathbf{A}\mathbf{Q}(t) = \mathbf{F}(t), \qquad (11.2)$$

121 where

122 123

119 120

124

125 126 127

130

137 138

The eigenvalues of this problem can be stored in the spectral matrix Λ , so that

$$\mathbf{\Lambda} = \begin{bmatrix} \ \lambda_j \end{bmatrix}. \tag{11.4}$$

The *j*-th eigenvalue is associated to the eigenvector $\boldsymbol{\theta}_j$ such as $(\mathbf{U}\lambda_j - \mathbf{A})\boldsymbol{\theta}_j = \mathbf{0}$, where $\boldsymbol{\theta}_j = \{\boldsymbol{\psi}_j^T \, \boldsymbol{\psi}_j^T \lambda_j\}^T$, $\boldsymbol{\psi}_j$ being the complex eigenvector in the physical space (i.e. its components are related to **q**). Storing the eigenvectors (in the same order as the eigenvalues) in the modal matrix $\boldsymbol{\Theta} = [\boldsymbol{\Psi}^T \, \boldsymbol{\Lambda} \boldsymbol{\Psi}^T]^T$, the following relationship is verified:

$$\mathbf{U}\boldsymbol{\Theta}\boldsymbol{\Lambda} = \mathbf{A}\boldsymbol{\Theta}. \tag{11.5}$$

 $\mathbf{F}(t) = \begin{cases} \mathbf{f}(t) \\ \mathbf{0} \end{cases}$

(11.3)

The orthogonality relationships can be written using 2*n* arbitrary values to build the diagonal matrix
$$\boldsymbol{\xi} = [\xi_{i}]$$
,

$$\boldsymbol{\Theta}^{\mathrm{T}} \mathbf{U} \boldsymbol{\Theta} = \boldsymbol{\xi} \quad \text{or} \quad \boldsymbol{\Theta}^{\mathrm{T}} \mathbf{A} \boldsymbol{\Theta} = \boldsymbol{\xi} \boldsymbol{\Lambda}. \tag{11.6}$$

¹⁴³ The modal decomposition of the permanent harmonic response at frequency ω is ¹⁴⁴ finally

$$\mathbf{Q}(t) = \mathbf{\Theta} \left(\boldsymbol{\xi} (\mathrm{i}\omega \mathbf{E}_{2n} - \boldsymbol{\Lambda}) \right)^{-1} \mathbf{\Theta}^{\mathrm{T}} \mathbf{F}(\omega) e^{\mathrm{i}\omega t}, \qquad (11.7)$$

where \mathbf{E}_{2n} is a $2n \times 2n$ identity matrix and $\mathbf{F}(\omega)$ is the complex amplitude of the harmonic excitation. This relationship can also be written using the *n* degrees of freedom notation in the frequency domain as

$$\mathbf{q}(\omega) = \mathbf{\Psi} \Xi \mathbf{\Psi}^{\mathrm{T}} \mathbf{f}(\omega), \qquad (11.8)$$

152 where

$$\Xi = \left[\left[\frac{1}{\xi_j (i\omega - \lambda_j)} \right] \right].$$
(11.9)

In the following, without loss of generality, the eigenshapes are supposed to be normalized such as $\xi_i = 1$.

¹⁶⁰ 11.3.1 Properness of Complex Modes

The properness condition is related to the inverse procedure: starting from the modal basis, the orthogonality relationships can be inverted to obtain the system matrices. Inverting relationships (11.6) leads to

$$\mathbf{U}^{-1} = \boldsymbol{\Theta} \boldsymbol{\Theta}^{\mathrm{T}},\tag{11.10}$$

or

$$\begin{bmatrix} \mathbf{C} & \mathbf{M} \\ \mathbf{M} & \mathbf{0} \end{bmatrix}^{-1} = \begin{bmatrix} \mathbf{0} & \mathbf{M}^{-1} \\ \mathbf{M}^{-1} & -\mathbf{M}^{-1}\mathbf{C}\mathbf{M}^{-1} \end{bmatrix}$$
$$= \begin{bmatrix} \Psi\Psi^{\mathrm{T}} & \Psi\Lambda\Psi^{\mathrm{T}} \\ \Psi\Lambda\Psi^{\mathrm{T}} & \Psi\Lambda^{2}\Psi^{\mathrm{T}} \end{bmatrix}, \qquad (11.11)$$

175 and

or

$$\mathbf{A}^{-1} = \boldsymbol{\Theta} \boldsymbol{\Lambda} \boldsymbol{\Theta}^{\mathrm{T}},\tag{11.12}$$

$$\begin{bmatrix} -\mathbf{K} & \mathbf{0} \\ \mathbf{0} & \mathbf{M} \end{bmatrix}^{-1} = \begin{bmatrix} -\mathbf{K}^{-1} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}^{-1} \end{bmatrix}$$
$$= \begin{bmatrix} \Psi \mathbf{\Lambda}^{-1} \Psi^{\mathrm{T}} & \Psi \Psi^{\mathrm{T}} \\ \Psi \Psi^{\mathrm{T}} & \Psi \mathbf{\Lambda} \Psi^{\mathrm{T}} \end{bmatrix}.$$
(11.13)

185 From these expressions, the mass, stiffness and damping matrices can be expressed 186 as

 $\mathbf{M} = \left[\boldsymbol{\Psi} \boldsymbol{\Lambda} \boldsymbol{\Psi}^{\mathrm{T}} \right]^{-1},$ (11.14)

188 189

187

190

- 191
- 192 193

194

These relationships are only valid if the complex modes verify the properness condition that directly comes from the zero terms in inverse matrices: 195

 $\mathbf{K} = - \left[\boldsymbol{\Psi} \boldsymbol{\Lambda}^{-1} \boldsymbol{\Psi}^{\mathrm{T}} \right]^{-1},$

 $\mathbf{C} = -[\mathbf{M}\boldsymbol{\Psi}\boldsymbol{\Lambda}^2\boldsymbol{\Psi}^{\mathrm{T}}\mathbf{M}].$

196 197

$$\Psi \Psi^{\mathrm{T}} = \mathbf{0}. \tag{11.17}$$

198 It should be emphasized that this methodology leads to identification of matrices 199 only if all modes of the system are identified. This is of course not realistic for 200 continuous structures. Nevertheless, the reconstruction equations can lead to useful 201 condensed model of the continuous structure if the number of identified modes is 202 equal to the number of measured degrees of freedom, and if the locations of the 203 sensors ensures physical meaning for the degrees of freedom of the reduced model. 204 Some techniques are available to provide estimation of matrices when only a subset 205 of the modes are identified, or even directly from measured FRFs. The readers are 206 invited to refer to corresponding papers [12, 19, 21, 26, 28] or reviews [33, 35, 36] 207 for more details. This chapter is limited to model reconstruction from a full set of 208 complex modes. 209

210 211

212 213

11.3.2 Illustration of Properness Impact on Inverse Procedure

214 When dealing with experimental data for matrices identification, it is clear that the 215 input data (i.e. the complex eigenshapes) are polluted with random noise. In order to 216 illustrate that point, a numerical example can be used: starting from exact solutions 217 of a 4 degrees-of-freedom system, the eigenshapes are modified using a random 218 noise of growing amplitude acting on amplitude and phase of vectors. This numer-219 ical noise does not necessarily represent exactly experimental noise, it is used here 220 for a sake of simplicity, in order to illustrate impact of noise on properness condi-221 tion. Experimental results will be shown later, on which the trends observed here 222 will be confirmed. 223

Figure 11.1 shows the impact of noise on the properness norm. The norm which 224 is used here is the norm 2, i.e. the largest singular value of the matrix. 225

It can clearly be observed that the properness norm grows up with the noise on 226 inputs, which means that the inverse relations are no longer valid as soon as the 227 properness condition is not verified. This is confirmed by Fig. 11.2, which shows 228 the error on identified matrices, this error being defined from the ratio of norm 2 229 of the difference between identified and exact matrices to the norm 2 of the exact 230

(11.15)

(11.16)



matrices. It is clear from the figure that some very large errors can be obtained on matrices identification for small errors levels on inputs, in particular for the damping identification, while the identification of mass and stiffness matrices is quite robust, i.e. the level of error on outputs is of the same order as the level of error on inputs. Proper complex modes are then of first importance for correct damping estimation.

271

270 11.3.3 Properness Enforcement

When the complex modes are available from experimental identification, Eqs. (11.14)–(11.16) can be used in order to find the reduced model which is supposed to have the same behavior as the measured system. In general, the complex modes do not verify the properness condition (11.17) and Ref. [7] proposes a



methodology to enforce properness condition, in order to obtain optimal complex modes. The objective is to find the approximate complex vectors, which are as close as possible to the identified ones, and that verify the properness condition. It is shown that for structural dynamics, an explicit solution can be found, requiring only to solve a Riccati equation. This equation can be deduced from the problem

Find
$$\tilde{\Psi}$$
 minimizing $\|\tilde{\Psi} - \Psi\|$ while $\tilde{\Psi}\tilde{\Psi}^{\mathrm{T}} = \mathbf{0}$. (11.18)

³⁰⁰ Writing this problem using a constrained minimization approach leads to

301 302

$$\tilde{\Psi} = [\mathbf{E}_n - \delta \overline{\delta}]^{-1} [\Psi - \delta \overline{\Psi}], \qquad (11.19)$$

where δ is a Lagrange multiplier matrix, that can be found by solving the Riccati equation

306

$$\Psi\Psi^{\mathrm{T}} - \delta\overline{\Psi}\Psi^{\mathrm{T}} - \Psi\overline{\Psi}^{\mathrm{T}}\delta + \delta\overline{\Psi}\overline{\Psi}^{\mathrm{T}}\delta = \mathbf{0}.$$
 (11.20)

In the previous equations, $\overline{\Psi}$ is the conjugate of Ψ . After properness enforcement, the eigenvectors are typically mainly changed in phase, while the amplitude of vectors remains almost the same, as shown in Figs. 11.3, 11.4, 11.5 and 11.6, which present the eigenvectors of the four modes in complex plane. The figure exhibits three families of shapes:

- ³¹³ the initial shapes, corresponding to those of the initial system;
- the modified shapes, obtained after random changes of initial shapes;

- the proper shapes, deduced from the modified ones after properness enforcement.

It is quite clear from this picture that the proper modes are not those of the initial system, but the closest ones to modified ones that verify the properness condition.
The case considered here for illustration is undoubtedly an extreme case, since it corresponds to the highest value of random noise used in Figs. 11.1 and 11.2, i.e.
30% in amplitude and phase. For practical applications, lower level of noise is expected, and the starting vectors should be closer to the "true" shapes of the system.







11.3.4 Experimental Illustration

404 In this section an experimental illustration of the methodology is presented. Fig-405 ure 11.7 shows the experimental set-up which has been used. It is constituted with 406 two bending beams which are coupled through their bases by a common "clamp-407 ing" device. The frequency range of interest concerns the two firsts modes of the 408 coupled system, which could be represented by a 2-degrees of freedom equivalent 409 model, using points 1 and 2 indicated in Fig. 11.7 as reference points. These points 410 are equipped with accelerometers and some contactless force transducers are used 411 to excite the structure, with force sensors. An electrical intensity probe has also been 412 used to check the value of the force sensors and to verify that the moving masses do 413 not perturb the measured information. 414





Fig. 11.9 Comparison of measured and synthesized FRF12

The amount of change in these vectors is clearly in the same order of magnitude as the one observed in the numerical illustration. These small changes in vectors clearly improve the matrices identification

$$\tilde{\mathbf{M}} = \begin{bmatrix} 0.5330 & 0.0343\\ 0.0343 & 0.6270 \end{bmatrix},$$
(11.26)

$$\tilde{\mathbf{C}} = \begin{bmatrix} 0.569 & 0.194\\ 0.194 & 0.848 \end{bmatrix},\tag{11.27}$$

$$\tilde{\mathbf{K}} = \begin{bmatrix} 2.52 \times 10^4 & -1.02 \times 10^3 \\ -1.02 \times 10^3 & 3.05 \times 10^4 \end{bmatrix}.$$
 (11.28)

Changes associated to properness enforcement have a very limited impact on mass and stiffness identification, while they have a strong effect on the damping identification. The first observation that can be done is related to the numerical values in the damping matrix, which correspond to possible physical values. The second observation is that the identified values with properness enforcement are in accordance with the measured data, as indicated in Figs. 11.8, 11.9 and 11.10. These figures show the measured FRFs, the synthesized FRFs from complex modes



Fig. 11.10 Comparison of measured and synthesized FRF22

identified using a curve fitting technique, the synthesized FRFs obtained from direct
 calculation using matrices coming from identified complex modes, and the corre sponding ones after properness enforcement.

535 536

530 531 532

The figures clearly show that:

- the initial modal identification seems to be correct, since the associated synthe sized FRFs are very close to the measured one;
- if these identified modes are used for matrices identification, the bad conditioning
 of the problem leads to very large errors (as indicated above, mainly due to bad damping identification);
- if these modes are slightly modified in accordance with the properness condi tion, the identified matrices are able to represent the behavior of the measured
 structure.

For damping identification purposes, it is then clear that properness enforcement on complex vectors must be considered. This operation can be seen as a regularization technique based on physical considerations, instead of using purely mathematical methods. The procedure to enforce properness has been proposed some years ago [7], but unfortunately it is not widely used as it should be. The next section is dedicated to extension of properness for vibroacoustics.

11.4 Extension of Properness to Vibroacoustics

553 554 555 556

557 558

559

560

11.4.1 Equations of Motion

Discretizing an internal vibroacoustical problem using the natural fields for the description of the structure (those which can be directly measured), i.e. displacement for the structure and acoustic pressure for the cavity, leads to the matrix system [29]

$$\underbrace{\begin{bmatrix} \mathbf{M}_{s} & \mathbf{0} \\ \mathbf{L}^{\mathrm{T}} & \mathbf{M}_{a} \end{bmatrix}}_{\mathbf{M}} \underbrace{\{ \mathbf{\ddot{x}}(t) \\ \mathbf{\ddot{p}}(t) \\ \mathbf{\ddot{q}}(t) }_{\mathbf{\ddot{q}}(t)} + \underbrace{\begin{bmatrix} \mathbf{C}_{s} & \mathbf{0} \\ \mathbf{0} & \mathbf{C}_{a} \end{bmatrix}}_{\mathbf{C}} \underbrace{\{ \mathbf{\dot{x}}(t) \\ \mathbf{\dot{p}}(t) \\ \mathbf{\dot{q}}(t) }_{\mathbf{\dot{q}}(t)} + \underbrace{\begin{bmatrix} \mathbf{K}_{s} & -\mathbf{L} \\ \mathbf{0} & \mathbf{K}_{a} \end{bmatrix}}_{\mathbf{K}} \underbrace{\{ \mathbf{x}(t) \\ \mathbf{p}(t) \\ \mathbf{q}(t) }_{\mathbf{q}(t)} = \underbrace{\{ \mathbf{F}_{s}(t) \\ \mathbf{\dot{Q}}_{a}(t) \\ \mathbf{f}(t) }_{\mathbf{f}(t)}, \qquad (11.29)$$

572 where $\mathbf{x}(t)$ is the vector of generalized displacements of the structure, $\mathbf{p}(t)$ is the 573 vector of acoustic pressures, \mathbf{M}_s is the mass matrix of the structure, \mathbf{M}_a is called 574 "mass" matrix of acoustic fluid (its components are not homogeneous to masses, 575 the name is chosen for analogy with structural denomination), \mathbf{K}_{s} is the stiffness 576 matrix of the structure, \mathbf{K}_a is the "stiffness" matrix of fluid domain, \mathbf{L} is the vibro-577 acoustic coupling matrix, C_s and C_a respectively represent structural and acoustic 578 losses. This formulation includes the hypothesis that there is no loss at the coupling 579 between structural and acoustic parts, and that internal losses can be represented 580 using equivalent viscous models. $\mathbf{F}_{s}(t)$ is the vector representing the generalized 581 forces on the structure, while $\dot{\mathbf{Q}}_a(t)$ is associated to acoustic sources (volume accel-582 eration) in the cavity. 583

The non-self-adjoint character of the formulation induces difficulties for the res-584 olution of this kind of problem using modal decomposition. Some research works 585 have been done to find symmetric formulations dedicated to coupled vibroacoustic 586 problems [16, 29], but up to now, these formulations are either not able to take into 587 account dissipation in the fluid domain, or lead to full matrices which can not be 588 589 efficiently used for large models. The technique which is widely used for model re-590 duction in the field of numerical analysis is based on the use of two uncoupled bases 591 (structural and fluid), and the solution of the coupled system is projected on these 592 bases, even if some convergence problems can be found [37]. Being able to evaluate 593 numerically the coupled modal basis in an efficient way is still a challenge, in partic-594 ular for damped problems. On the other hand, starting from experimental data, it is 595 possible to identify these modes [39], and one of the ways to build reduced models 596 could be to follow the same methodology as the one used in structural dynamics, 597 extended to vibroacoustics. 598

11.4.2 Complex Modes for Vibroacoustics

The system (11.29) can be solved for steady-state harmonics by modal decomposi-tion. The non-symmetric character of the matrix system implies that right and left modes must be identified. This can be done using the space-state representation of the system T

$$\mathbf{U}\mathbf{\hat{Q}}(t) - \mathbf{A}\mathbf{Q}(t) = \mathbf{F}(t), \qquad (11.30)$$

where

$$\mathbf{U} = \begin{bmatrix} \mathbf{C} & \mathbf{M} \\ \mathbf{M} & \mathbf{0} \end{bmatrix}, \qquad \mathbf{A} = \begin{bmatrix} -\mathbf{K} & \mathbf{0} \\ \mathbf{0} & \mathbf{M} \end{bmatrix}, \qquad \mathbf{Q}(t) = \begin{cases} \mathbf{q}(t) \\ \dot{\mathbf{q}}(t) \end{cases},$$
$$\mathbf{F}(t) = \begin{cases} \mathbf{f}(t) \\ \mathbf{0} \end{cases}. \tag{11.31}$$

The eigenvalues of this problem can be stored in the spectral matrix Λ .

$$\mathbf{\Lambda} = \begin{bmatrix} \ \lambda_j \\ \end{bmatrix}. \tag{11.32}$$

The *j*-th eigenvalue is associated to:

• a right eigenvector, $\boldsymbol{\theta}_{Rj}$ such that $(\mathbf{U}\lambda_j - \mathbf{A})\boldsymbol{\theta}_{Rj} = \mathbf{0}$, where $\boldsymbol{\theta}_{Rj} = \{\psi_{Rj}^T \ \psi_{Rj}^T \lambda_j\}^T$. Storing the eigenvectors (in the same order as the eigenvalues) in the modal matrix $\boldsymbol{\Theta}_{R} = [\boldsymbol{\psi}_{R}^{T} \boldsymbol{\Lambda} \boldsymbol{\psi}_{R}^{T}]^{T}$, the following relationship is verified,

 $U\Theta_{\rm P}\Lambda = A\Theta_{\rm P}$: (11.33)

• a left eigenvector $\boldsymbol{\theta}_{Lj}$, such that $\boldsymbol{\theta}_{Lj}^{T}(\mathbf{U}\lambda_{j}-\mathbf{A}) = \mathbf{0}$, where $\boldsymbol{\theta}_{Lj} = \{\psi_{Lj}^{T} \ \psi_{Lj}^{T} \lambda_{j}\}^{T}$. Storing the eigenvectors (in the same order as the eigenvalues) in the modal matrix $\Theta_{\rm L} = [\psi_{\rm I}^{\rm T} \Lambda \psi_{\rm I}^{\rm T}]^{\rm T}$, the following relationships are verified,

$$\mathbf{U}^{\mathrm{T}} \boldsymbol{\Theta}_{\mathrm{L}} \boldsymbol{\Lambda} = \mathbf{A}^{\mathrm{T}} \boldsymbol{\Theta}_{\mathrm{L}} \quad \text{or} \quad \boldsymbol{\Lambda} \boldsymbol{\Theta}_{\mathrm{L}}^{\mathrm{T}} \mathbf{U} = \boldsymbol{\Theta}_{\mathrm{L}}^{\mathrm{T}} \mathbf{A}. \tag{11.34}$$

The orthogonality relationships can be written using 2n arbitrary values to build the diagonal matrix $\boldsymbol{\xi} = [\xi_i],$

$$\boldsymbol{\Theta}_{L}^{T} \boldsymbol{U} \boldsymbol{\Theta}_{R} = \boldsymbol{\xi} \quad \text{or} \quad \boldsymbol{\Theta}_{L}^{T} \boldsymbol{A} \boldsymbol{\Theta}_{R} = \boldsymbol{\xi} \boldsymbol{\Lambda}.$$
 (11.35)

The modal decomposition of the permanent harmonic response at frequency ω is

$$\mathbf{Q}(t) = \mathbf{\Theta}_{\mathrm{R}} \big(\boldsymbol{\xi} (\mathrm{i}\omega \mathbf{E}_{2n} - \boldsymbol{\Lambda}) \big)^{-1} \mathbf{\Theta}_{\mathrm{L}}^{\mathrm{T}} \mathbf{F}(\omega) e^{\mathrm{i}\omega t}, \qquad (11.36)$$

where \mathbf{E}_{2n} is the $2n \times 2n$ identity matrix and $\mathbf{F}(\omega)$ is the complex amplitude of the harmonic excitation. This relationship can also be written using the n degrees of

⁶⁴⁵ freedom notations in the frequency domain as

$$\mathbf{Q}(\omega) = \psi_{\mathrm{R}} \Xi \psi_{\mathrm{L}}^{\mathrm{T}} \mathbf{f}(\omega), \qquad (11.37)$$

648 where

649 650

651

646 647

 $\Xi = \begin{bmatrix} \sim \frac{1}{\xi_j (i\omega - \lambda_j)} \end{bmatrix}.$ (11.38)

In the following, without loss of generality, the eigenshapes are supposed to be normalized such that $\xi_j = 1$.

Each mode has its own response which is proportional to the right eigenvector, with a modal participation vector that includes the scalar product between the left eigenvector and the force exciting the system. In the case of a self-adjoint problem, right and left eigenvectors are equal. The non-self adjoint character of problem (11.1) is particular since extradiagonal coupling terms that appear in mass and stiffness matrices are linked. It can be shown [39] that the left eigenvectors are related to the right ones by the following relationship:

If
$$\psi_{\mathrm{R}j} = \begin{cases} \mathbf{X}_j \\ \mathbf{P}_j \end{cases}$$
 then $\psi_{\mathrm{L}j} = \begin{cases} \mathbf{X}_j \\ -\mathbf{P}_j \lambda_j^{-2} \end{cases}$, (11.39)

where X corresponds to the structural dofs of the eigenvectors, and P is related to the acoustic dofs. This point is fundamental for modal analysis of coupled system, since only extraction of right eigenvectors is required to derive the left ones. The previous relation can also be written as

If
$$\psi_{\mathrm{R}} = \begin{bmatrix} \mathbf{X} \\ \mathbf{P} \end{bmatrix}$$
 then $\psi_{\mathrm{L}} = \begin{bmatrix} \mathbf{X} \\ -\mathbf{P}\mathbf{\Lambda}^{-2} \end{bmatrix}$. (11.40)

671 672 673

674 675

678

669 670

11.4.3 Properness for Vibroacoustics

The properness condition in the case of a non-self adjoint system can be derived from the orthogonality relationships (11.35):

$$\mathbf{U}^{-1} = \boldsymbol{\Theta}_{\mathbf{R}} \boldsymbol{\Theta}_{\mathbf{L}}^{\mathrm{T}},\tag{11.41}$$

679 680 681

690

or

$$\begin{bmatrix} \mathbf{C} & \mathbf{M} \\ \mathbf{M} & \mathbf{0} \end{bmatrix}^{-1} = \begin{bmatrix} \mathbf{0} & \mathbf{M}^{-1} \\ \mathbf{M}^{-1} & -\mathbf{M}^{-1}\mathbf{C}\mathbf{M}^{-1} \end{bmatrix}$$
$$= \begin{bmatrix} \psi_{\mathrm{R}}\psi_{\mathrm{L}}^{\mathrm{T}} & \psi_{\mathrm{R}}\mathbf{A}\psi_{\mathrm{L}}^{\mathrm{T}} \\ \psi_{\mathrm{R}}\mathbf{A}\psi_{\mathrm{L}}^{\mathrm{T}} & \psi_{\mathrm{R}}\mathbf{A}^{2}\psi_{\mathrm{L}}^{\mathrm{T}} \end{bmatrix}, \qquad (11.42)$$

686 687 688 and 689

$$\mathbf{A}^{-1} = \boldsymbol{\Theta}_{\mathrm{R}} \mathbf{\Lambda} \boldsymbol{\Theta}_{\mathrm{L}}^{\mathrm{T}},\tag{11.43}$$

or $\begin{bmatrix} -\mathbf{K} & \mathbf{0} \\ \mathbf{0} & \mathbf{M} \end{bmatrix}^{-1} = \begin{bmatrix} -\mathbf{K}^{-1} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}^{-1} \end{bmatrix}$ $= \begin{bmatrix} \psi_{\mathbf{R}} \mathbf{\Lambda}^{-1} \psi_{\mathbf{L}}^{\mathrm{T}} & \psi_{\mathbf{R}} \psi_{\mathbf{L}}^{\mathrm{T}} \\ \psi_{\mathbf{R}} \psi_{\mathbf{L}}^{\mathrm{T}} & \psi_{\mathbf{R}} \mathbf{\Lambda} \psi_{\mathbf{L}}^{\mathrm{T}} \end{bmatrix}.$ (11.44)It is then clear that the properness condition for a non-symmetric second order system can be written as $\psi_{\mathbf{R}}\psi_{\mathbf{I}}^{\mathrm{T}}=\mathbf{0}.$ (11.45)Once this relationship is verified, the matrices can be found using the inverse relations $\mathbf{M} = \left[\psi_{\mathrm{R}} \mathbf{\Lambda} \psi_{\mathrm{I}}^{\mathrm{T}} \right]^{-1},$ (11.46) $\mathbf{K} = - \left[\psi_{\mathrm{R}} \mathbf{\Lambda}^{-1} \psi_{\mathrm{I}}^{\mathrm{T}} \right]^{-1},$ (11.47) $\mathbf{C} = - [\mathbf{M} \psi_{\mathrm{R}} \mathbf{\Lambda}^2 \psi_{\mathrm{L}}^{\mathrm{T}} \mathbf{M}].$ (11.48)For the particular vibroacoustic case, left eigenvectors are linked to right ones, and the properness condition can be written using only the right complex eigenvectors,

$$\begin{bmatrix} \mathbf{X}\mathbf{X}^{\mathrm{T}} & -\mathbf{X}\mathbf{\Lambda}^{-2}\mathbf{P}^{\mathrm{T}} \\ \mathbf{P}\mathbf{X}^{\mathrm{T}} & -\mathbf{P}\mathbf{\Lambda}^{-2}\mathbf{P}^{\mathrm{T}} \end{bmatrix} = \mathbf{0}.$$
 (11.49)

715 716 717

718 719

11.4.4 Methodologies for Properness Enforcement

720 721 11.4.4.1 Structural Dynamics Based Strategy

When the complex modes are available from experimental identification, one can use Eqs. (11.46) to (11.48) in order to find the reduced model which is supposed to have the same behavior as the measured one. The fact is that in general, the modes do not verify the properness condition (11.49). In the particular case of vibroacoustics, one can try to follow the same methodology as the one used in structural dynamics. The following constrained optimization problem should then be solved:

Find
$$\tilde{\mathbf{X}}$$
 and $\tilde{\mathbf{P}}$ minimizing $\|\tilde{\mathbf{X}} - \mathbf{X}\|$ and $\|\tilde{\mathbf{P}} - \mathbf{P}\|$
while
 $\tilde{\mathbf{X}}\tilde{\mathbf{X}}^{\mathrm{T}} = \mathbf{0}, \quad \tilde{\mathbf{X}}\tilde{\mathbf{P}}^{\mathrm{T}} = \mathbf{0}, \quad \tilde{\mathbf{X}}\Lambda^{-2}\tilde{\mathbf{P}}^{\mathrm{T}} = \mathbf{0}, \quad \tilde{\mathbf{P}}\Lambda^{-2}\tilde{\mathbf{P}}^{\mathrm{T}} = \mathbf{0},$ (11.50)

where **X** and **P** are two given complex rectangular matrices and **A** is a given diagonal complex matrix. This problem can be re-written using 4 Lagrange multipliers matrices δ_j (j = 1 to 4), yielding

691 or

692

693

694 695

696

697 698

699

700

701 702

703

704

705 706

707

708

709

710

711

712 713 714

$$\begin{cases} \tilde{\mathbf{X}} \\ \tilde{\mathbf{P}} \\ \end{cases} - \begin{cases} \tilde{\mathbf{X}} \\ \tilde{\mathbf{P}} \\ \end{cases} - \begin{cases} \tilde{\mathbf{X}} \\ \mathbf{P} \\ \end{cases} + \frac{1}{2} \begin{bmatrix} \delta_1 + \delta_1^T & \delta_2 \\ \delta_2^T & \mathbf{0} \end{bmatrix} \begin{cases} \overline{\tilde{\mathbf{X}}} \\ \overline{\tilde{\mathbf{P}}} \\ \end{cases}$$

$$- \frac{1}{2} \begin{bmatrix} \mathbf{0} & \delta_3 \\ \delta_3^T & \delta_4 + \delta_4^T \\ \end{cases} \end{bmatrix} \begin{cases} \overline{\tilde{\mathbf{X}} \Lambda^{-2}} \\ \overline{\tilde{\mathbf{P}} \Lambda^{-2}} \\ \end{cases} = \mathbf{0},$$

$$\tilde{\mathbf{X}} \tilde{\mathbf{X}}^T = \mathbf{0},$$

$$\tilde{\mathbf{X}} \tilde{\mathbf{P}}^T = \mathbf{0},$$

$$\tilde{\mathbf{X}} \Lambda^{-2} \tilde{\mathbf{P}}^T = \mathbf{0},$$

$$\tilde{\mathbf{X}} \Lambda^{-2} \tilde{\mathbf{P}}^T = \mathbf{0},$$

$$\tilde{\mathbf{P}} \Lambda^{-2} \tilde{\mathbf{P}}^T = \mathbf{0},$$

$$\tilde{\mathbf{P}} \Lambda^{-2} \tilde{\mathbf{P}}^T = \mathbf{0},$$

$$\end{cases}$$

749 where the overbars correspond to complex conjugates. Solving this problem is 750 clearly not easy because of the presence of the Λ matrices that makes impossible to 751 find explicitly the expression of multipliers versus the unknown vectors. An iterative 752 procedure could be investigated but this is not the best way to obtain quick results 753 that can be used in real-time during modal analysis. Some simplified methods have 754 been proposed [30], among which one is called over-properness: considering the 755 fact that the method developed for structural dynamics [7] is valid for all matrix Y 756 subjected to a properness condition $YY^{T} = 0$, one can use as Y matrix: 757

759

760 761

763

764 765 766

vielding 762

 $\mathbf{Y} = \begin{bmatrix} \mathbf{X} \\ \mathbf{P} \\ -\mathbf{P}\mathbf{\Lambda}^{-2} \end{bmatrix},$ $\mathbf{Y}\mathbf{Y}^{\mathrm{T}} = \begin{bmatrix} \mathbf{X}\mathbf{X}^{\mathrm{T}} & \mathbf{X}\mathbf{P}^{\mathrm{T}} & -\mathbf{X}\mathbf{\Lambda}^{-2}\mathbf{P}^{\mathrm{T}} \\ \mathbf{P}\mathbf{X}^{\mathrm{T}} & \mathbf{P}\mathbf{P}^{\mathrm{T}} & -\mathbf{P}\mathbf{\Lambda}^{-2}\mathbf{P}^{\mathrm{T}} \\ -\mathbf{P}\mathbf{\Lambda}^{-2}\mathbf{X}^{\mathrm{T}} & -\mathbf{P}\mathbf{\Lambda}^{-2}\mathbf{P}^{\mathrm{T}} & \mathbf{P}\mathbf{\Lambda}^{-4}\mathbf{P}^{\mathrm{T}} \end{bmatrix}.$ (11.53)

767 It can be observed that the four required terms of Eq. (11.49) are included in this 768 matrix, while two of them are not theoretically required. Using this vector in the 769 procedure detailed by Eqs. (11.18)-(11.20) leads to a so-called over-proper solution 770 which includes more constraints than those required, but that includes the required 771 ones. 772

773

774 11.4.4.2 Alternative Strategy 775

776 Another thinkable way for obtaining matrices of system (11.29) is to use a least-777 square approach. Being given a set of measured frequency responses X corresponding to a set of measured excitations F, the matrices can be found by solving the 778 779 minimization problem 780

$$\min_{\substack{\mathbf{781}\\\mathbf{782}}} \varepsilon(\mathbf{M}, \mathbf{C}, \mathbf{K}) = \| (-\omega^2 \mathbf{M} + i\omega \mathbf{C} + \mathbf{K}) \mathbf{X} = \mathbf{F} \|, \qquad (11.54)$$

(11.52)

where $\mathbb{A} = \mathbb{M} \times \mathbb{C} \times \mathbb{K}$ is the space of admissible matrices (whose topology correspond to a vibroacoustic problem). The function to minimize can be written using a linear system,

786 787

$$\varepsilon(\mathbf{M}, \mathbf{C}, \mathbf{K}) = \|\mathbf{D}\boldsymbol{\alpha} - \mathbf{G}\|,\tag{11.55}$$

where $\boldsymbol{\alpha} = \{M_{11}M_{12}...K_{nn}\}^{\mathrm{T}}$, while **D** includes terms coming from **X** and ω , and **G** includes terms coming from **F**. The matrices components can finally be found using pseudo-inverse for minimization of least-square error,

791 792

 $\boldsymbol{\alpha} = \left(\mathbf{D}^{\mathrm{T}}\mathbf{D}\right)^{-1}\mathbf{D}\mathbf{G}.$ (11.56)

This strategy can then be used to directly find the matrices without using the com plex eigenvectors, which can be found in post processing stage by solving the eigenvalue problem. This approach implies undoubtedly a higher calculation cost than
 the previous strategies, in particular for systems with numerous degrees of freedom,
 while in the case of low order reduced models, this strategy could be appropriate.

799 800

801 802

11.4.5 Numerical Illustration

The strategies which have been proposed here can be compared with a direct matrices reconstruction, i.e. without properness enforcement. The first test-case which is proposed here is a very simple 2-dofs numerical model, whose topology is the same as the one given in Eq. (11.29):

807 808

809

810 811

812

 $\begin{bmatrix} 3.23 & 0 \\ -1.46 & 1.27 \times 10^{-2} \end{bmatrix} \begin{bmatrix} \ddot{x} \\ \ddot{p} \end{bmatrix} + \begin{bmatrix} 1.12 & 0 \\ 0 & 3.18 \times 10^{-3} \end{bmatrix} \begin{bmatrix} \dot{x} \\ \dot{p} \end{bmatrix} + \begin{bmatrix} 1000 & 1.46 \\ 0 & 1.65 \end{bmatrix} \begin{bmatrix} x \\ p \end{bmatrix} = \begin{bmatrix} F(t) \\ \dot{Q}_a(t) \end{bmatrix}.$ (11.57)

 Starting from this system, the complex eigenmodes are evaluated. Some noise is
 then added to the eigenfrequencies and eigenvectors (5% random noise on frequency, 10% on mode shapes), and the matrices of the system are evaluated using
 the three approaches:

a direct reconstruction from complex modes (without properness enforcement);

⁸¹⁹ – reconstruction from complex modes (with over-properness enforcement);

reconstruction from least-square error on FRFs (the FRFs being generated with
 the noisy eigenvalues in order to keep the same noise level).

Finally, the three results are compared by comparing the reconstructed matrices to the original ones (when available) or by plotting FRFs evaluated using each set of matrices.

The direct approach, which is exact if no error exists in the identification proce dure, is clearly very sensitive to noise, and final matrices can be very different from
 expected results. The corresponding matrices are:

11 Identification of Reduced Models from Optimal Complex Eigenvectors

$$\mathbf{M} = \begin{bmatrix} 3.29 & -4.00 \times 10^{-4} \\ -1.55 & 1.31 \times 10^{-2} \end{bmatrix}, \quad \mathbf{C} = \begin{bmatrix} -1.53 & -1.02 \times 10^{-2} \\ -1.28 & 6.10 \times 10^{-3} \end{bmatrix},$$

$$\mathbf{K} = \begin{bmatrix} 964 & 1.37 \\ -14.1 & 1.66 \end{bmatrix}.$$
(11.58)

Estimation of mass and stiffness matrices is quite good, while the damping matrix
 is very badly reconstructed. Some clear improvements can be observed when the
 properness is enforced. The stiffness and mass matrices are almost unchanged, while
 the physical meaning of the damping matrix is improved when it is derived from the
 corrected eigenvectors:

$$\mathbf{M} = \begin{bmatrix} 3.30 & -4.12 \times 10^{-4} \\ -1.55 & 1.31 \times 10^{-2} \end{bmatrix}, \qquad \mathbf{C} = \begin{bmatrix} 2.62 & -5.04 \times 10^{-3} \\ -0.653 & -1.21 \times 10^{-4} \end{bmatrix},$$

$$\mathbf{K} = \begin{bmatrix} 965 & 1.37 \\ -14.5 & 1.66 \end{bmatrix}.$$
(11.59)

The negative damping term on the fluid part is balanced with its very small value
compared to the (positive) value on the structural part. Finally, the least-square error (LSE) approach leads to a correct topology of matrices, with physical damping
terms on both structural and acoustic parts:

$$\mathbf{M} = \begin{bmatrix} 3.31 & 0\\ -1.44 & 1.27 \times 10^{-2} \end{bmatrix}, \quad \mathbf{C} = \begin{bmatrix} 0.740 & 0\\ 0 & 4.12 \times 10^{-3} \end{bmatrix},$$

$$\mathbf{K} = \begin{bmatrix} 982 & 1.44\\ 0 & 1.62 \end{bmatrix}.$$
(11.60)

The three strategies can be compared using one of the corresponding FRFs in Fig. 11.11, on which the bad behavior of the direct method can be observed. One can also observe that, even if the topology of the over-proper solution is not exactly the right one, the global error on FRFs reconstruction is lower than in the case of LSE technique. Indeed, depending on the objective, one should evaluate matrices from both formulations and choose the ones which are the most appropriate.

11.4.6 Experimental Test-Case

The second test-case which is proposed here corresponds to an experimental testcase based on measurements on a guitar given by F. Gautier from LAUM-Le Mans and J.-L. Le Carrou from LAM-Paris VI. In that case, only two degrees of freedom are considered, in order to represent the behavior of the guitar in the frequency range corresponding to the so-called A0 and T1 modes, which are of first interest in the design of the instrument [13, 18, 25]. The two degrees of freedom which have been



Fig. 11.11 Methodologies for properness enforcement on numerical test-case

used in these measurements correspond to the structural transverse displacement of a point on the soundboard, and the acoustic pressure in the middle of the sound hole. A small impact hammer has been used for excitation on the structural degree of free-dom. These two modes have been identified experimentally by a curve fitting tech-nique, and the FRFs built from these two modes is considered as the reference in the following. The direct approach leads once again to bad estimation of damping terms:

$$\mathbf{M} = \begin{bmatrix} 3.10 \times 10^{-2} & 2.10 \times 10^{-9} \\ 3.88 \times 10^{-2} & 2.85 \times 10^{-7} \end{bmatrix}, \qquad \mathbf{C} = \begin{bmatrix} -2.23 & 2.19 \times 10^{-6} \\ -3.68 & -3.72 \times 10^{-5} \end{bmatrix},$$
(11.61)
$$\mathbf{K} = \begin{bmatrix} 2.30 \times 10^4 & -3.59 \times 10^{-3} \\ 705 & 1.28 \times 10^{-5} \end{bmatrix}.$$
The properness enforcement allows the damping terms to become more physical:

914
915
916
917
918
919
920

$$\mathbf{M} = \begin{bmatrix} 3.09 \times 10^{-2} & 1.88 \times 10^{-9} \\ 3.84 \times 10^{-2} & 2.83 \times 10^{-7} \end{bmatrix}, \quad \mathbf{C} = \begin{bmatrix} 0.942 & -1.52 \times 10^{-6} \\ 0.315 & 7.55 \times 10^{-6} \end{bmatrix}, \quad (11.62)$$
(11.62)

$$\mathbf{K} = \begin{bmatrix} 2.27 \times 10^4 & -3.57 \times 10^{-3} \\ 632 & 1.26 \times 10^{-5} \end{bmatrix}.$$



Fig. 11.12 Methodologies for properness enforcement on guitar measurements

Finally, the least-square error (LSE) approach leads to a correct topology of matrices, with physical damping term on structural part, but not on the acoustic part:

$$\mathbf{M} = \begin{bmatrix} 2.91 \times 10^{-2} & 0\\ 3.44 \times 10^{-2} & 2.57 \times 10^{-7} \end{bmatrix}, \quad \mathbf{C} = \begin{bmatrix} 1.37 & 0\\ 0 & -2.97 \times 10^{-6} \end{bmatrix},$$
(11.63)
$$\mathbf{K} = \begin{bmatrix} 2.15 \times 10^4 & -3.45 \times 10^{-3}\\ 0 & 1.15 \times 10^{-5} \end{bmatrix}.$$

The comparison of FRFs, in Fig. 11.12, leads to the conclusions in accordance with both structural application and vibroacoustical numerical test-case. One can point out the fact that all methodologies lead to quite good estimation of mass and stiffness matrices, the critical point being the evaluation of damping matrix. The properness enforcement is not sufficient to obtain the correct topology, but the improvement is nevertheless clear, it can be seen as a regularization procedure for the inverse problem which is addressed here.

11.5 Prospects for the Future

As far as the structural dynamics applications are concerned, the properness enforcement technique leads to optimal complex modes that can be derived from identified
ones. These modes can be efficiently used to reconstruct the system matrices when
the full set of vectors is available. This should be considered in any application,
since this operation acts as a regularization and helps to identify physical damping
matrices. A challenge for the future is clearly to extend this notion for an incomplete
set of identified complex vectors.

Concerning the vibroacoustic extension, the properness condition has been de-977 rived. In this case no explicit solution can be found, obtaining optimal complex 978 modes that verify the properness condition is still a challenge. Of course constrained 979 minimization techniques could be applied, but they would certainly lead to a high 980 calculation cost. The efficiency of the approach in the context of structural dynamics 981 leads to similar expectations for the vibroacoustic case. Nevertheless some more re-982 search in this way are required to provide an efficient tool that works in any situation. 983 The alternative way to achieve the expected goal is to extend advanced FRF-based 984 methods referenced in the chapter to vibroacoustic applications. This could possi-985 bly lead to good results, since the least-square technique proposed here based on 986 FRF data gives interesting results. This is undoubtedly a promising way to obtain 987 efficient reconstruction of reduced vibroacoustic models. 988

989

990 991

992 993

11.6 Summary

Damping matrices identification in the context of structural dynamics, starting from a full modal basis identified by measurements, is a topic which is quite clear today. The inverse procedure is very sensitive to noise on input data (i.e. on identified complex vectors), and some methods are available to provide regularization techniques based on physical considerations. Among them, the properness enforcement technique is undoubtedly very efficient, as shown on illustrative examples.

The properness condition can be easily extended to vibroacoustics: this property 1000 must be verified by complex modes in order to be those of a physical system. Two 1001 1002 techniques have been proposed to enforce the property on eigenshapes that do not 1003 verify it, leading to much better results than those corresponding to the use of initial 1004 identified vectors. The first technique is based on the structural dynamics procedure, 1005 leading to enforcement of more conditions than the theoretically required ones. The 1006 second one is based on a least square error minimization. None of the two meth-1007 ods exhibits perfect results, so it is clear that one of the next challenges in vibroa-1008 coustic reduced models identification based on experimental modal analysis will be 1009 the improvement of the properness enforcement methodology. Up to now, the two 1010 proposed methods can be applied for a given application and the user can choose 1011 between results depending of the efficiency of the identified reduced model. 1012

11.7 Selected Bibliography

For a deeper insight into damping identification techniques, the following references
are suggested. Adhikari and Woodhouse have written a very well documented paper
in 4 parts [3–6], which constitutes a starting point for understanding the context and
methodologies available for damping identification.

The paper from Lin and Zhu [27] can be referenced as a good illustration of the relationship between viscous and hysteretic damping models, in particular to understand that viscous and hysteretic damping matrices are almost equivalent when the damping is distributed on the structure, while a correct choice of the damping model is of first importance for systems with distributed damping.

In this context, Xu [40] has proposed an interesting formulation for computing
 explicit damping matrices for multiply connected, non-classically damped, coupled
 systems.

It is nevertheless clear that most of the methodologies have been developed for
viscous or proportional damping. In particular, the paper from Barbieri et al. [8]
gives a comparison of three techniques for identification of proportional damping
matrix of transmission line cables, and the paper from Pilkey et al. [32], dedicated to
viscous damping, investigates some aspects of the damping identification procedure
that are noise, spatial incompleteness and modal incompleteness.

There are few papers to which the reader is invited to refer concerning identification of non-proportional damping, among which those by Adhikari [2] and Kasai and Link [23].

Almost all the methods that allows identification of damping matrix are related to measurements dofs: the size of the identified matrix is equal to the number of measurement points. The paper from Ozgen and Kim [31] compares two methods that can be used to expand the experimental damping matrix to the size of the analytical model.

Acknowledgements The authors would like to thank Jean-Loïc Le Carrou from Laboratoire
 d'Acoustique Musicale (Paris VI) and François Gautier from the Laboratoire d'Acoustique de
 l'Université du Maine, for the fruitful discussions and for allowing us to use their measurements
 data, used in the last part of the chapter.

1046 1047

1041

1048 **References**

- 1049
- 1. Adhikari, S.: Optimal complex modes and an index of damping non-proportionality. Mech.
 Syst. Signal Process. 18(1), 1–28 (2004)
- Adhikari, S.: Damping modelling using generalized proportional damping. J. Sound Vib. 293(1-2), 156–170 (2006)
- Adhikari, S., Woodhouse, J.: Identification of damping: Part 1, viscous damping. J. Sound Vib.
 243(1), 43–61 (2001)
- Adhikari, S., Woodhouse, J.: Identification of damping: Part 2, non-viscous damping. J. Sound Vib. 243(1), 63–88 (2001)
- Adhikari, S., Woodhouse, J.: Identification of damping: Part 3, symmetry-preserving methods. J. Sound Vib. 251(3), 477–490 (2002)

- Adhikari, S., Woodhouse, J.: Identification of damping: Part 4, error analysis. J. Sound Vib.
 251(3), 491–504 (2002)
- Balmès, E.: New results on the identification of normal modes from experimental complex ones. Mech. Syst. Signal Process. 11(2), 229–243 (1997)
- Barbieri, N., Souza Júnior, O.H., Barbieri, R.: Dynamical analysis of transmission line cables.
 Part 2—damping estimation. Mech. Syst. Signal Process. 18(3), 671–681 (2004)
- Bernal, D., Gunes, B.: Extraction of second order system matrices from state space realizations. In: 14th ASCE Engineering Mechanics Conference (EM2000), Austin, Texas (2000)
- Bert, C.W.: Material damping: An introductory review of mathematic measures and experimental technique. J. Sound Vib. 29(2), 129–153 (1973)
- 11. Caughey, T.K., O'Kelly, M.E.: Classical normal modes in damped linear systems. J. Appl. Mech. 32, 583–588 (1965)
- 1069 12. Chen, S.Y., Ju, M.S., Tsuei, Y.G.: Estimation of mass, stiffness and damping matrices from
 1070 frequency response functions. J. Vib. Acoust. 118(1), 78–82 (1996)
- 13. Christensen, O., Vistisen, B.B.: Simple model for low frequency guitar function. J. Acoust. Soc. Am. 68(3), 758–766 (1980)
- 10/2
 14. Craig, R.J., Bampton, M.: Coupling of substructures for dynamic analyses. AIAA J. 6(7), 1313–1319 (1968)
- 1074 15. Crandall, S.H.: The role of damping in vibration theory. J. Sound Vib. **11**(1), 3–18 (1970)
- 1075 16. Everstine, G.C. Finite element formulations of structural acoustics problems. Comput. Struct.
 1076 65(3), 307–321 (1997)
- 17. Fillod, R., Piranda, J.: Research method of the eigenmodes and generalized elements of a linear mechanical structure. Shock Vib. Bull. 48(3), 5–12 (1978)
 18. Flexible NUL Proving TD, The Planing of Mathematical Structure Science Particle (1998)
- 10/8 18. Fletcher, N.H., Rossing, T.D.: The Physics of Musical Instruments. Springer, Berlin (1998)
- 1079 19. Fritzen, C.P.: Identification of mass, damping, and stiffness matrices of mechanical systems.
 J. Vib. Acoust. Stress Reliab. Des. 108, 9–16 (1986)
- 20. Gaul, L.: The influence of damping on waves and vibrations. Mech. Syst. Signal Process.
 13(1), 1–30 (1999)
- Ibrahim, S.R.: Dynamic modeling of structures from measured complex modes. AIAA J. 21(6), 898–901 (1983)
- Ibrahim, S.R., Sestieri, A.: Existence and normalization of complex modes in post experimen tal use in modal analysis. In 13th International Modal Analysis Conference, Nashville, USA,
 pp. 483–489 (1995)
- Lancaster, P., Prells, U.: Inverse problems for damped vibrating systems. J. Sound Vib. 283(3–5), 891–914 (2005)
- Le Carrou, J.-L., Gautier, F., Foltête, E.: Experimental study of A0 and T1 modes of the concert harp. J. Acoust. Soc. Am. **121**(1), 559–567 (2007)
- Lee, J.H., Kim, J.: Development and validation of a new experimental method to identify damping matrices of a dynamic system. J. Sound Vib. 246(3), 505–524 (2001)
 Development and validation of a new experimental method to identify damping matrices of a dynamic system. J. Sound Vib. 246(3), 505–524 (2001)
- Lin, R.M., Zhu, J.: On the relationship between viscous and hysteretic damping models and the importance of correct interpretation for system identification. J. Sound Vib. 325(1–2), 14– 33 (2009)
- 28. Minas, C., Inman, D.J.: Identification of a nonproportional damping matrix from incomplete
 modal information. J. Vib. Acoust. 113(2), 219–224 (1991)
- 29. Morand, H.J.-P., Ohayon, R.: Fluid Structure Interaction. Wiley, New York (1995)
- 30. Ouisse, M., Foltête, E.: On the comparison of symmetric and unsymmetric formulations for
 experimental vibro-acoustic modal analysis. In: Acoustics'08, Paris, 2008
- 31. Ozgen, G.O., Kim, J.H.: Direct identification and expansion of damping matrix for
 experimental-analytical hybrid modeling. J. Sound Vib. 308(1–2), 348–372 (2007)
- Pilkey, D.F., Park, G., Inman, D.J.: Damping matrix identification and experimental verification. In: Smart Structures and Materials, SPIE Conference on Passive Damping and Isolation, Newport Beach, California, pp. 350–357 (1999)

- 33. Prandina, M., Mottershead, J.E., Bonisoli, E.: An assessment of damping identification methods. J. Sound Vib. 323(3-5), 662-676 (2009)
- 34. Rayleigh, J.W.S. The Theory of Sound, vols. 1, 2. Dover, New York (1945)
- 35. Srikantha Phani A., Woodhouse, J.: Viscous damping identification in linear vibration. J. Sound Vib. 303(3-5), 475-500 (2007)
- 36. Srikantha Phani A., Woodhouse, J.: Experimental identification of viscous damping in linear vibration. J. Sound Vib. 319(3-5), 832-849 (2009)
- 37. Tran, Q.H., Ouisse, M., Bouhaddi, N.: A robust component mode synthesis method for stochastic damped vibroacoustics. Mech. Syst. Signal Process. 24(1), 164-181 (1997)
- 38. Van der Auweraer, H., Guillaume, P., Verboven, P., Vanlanduit, S.: Application of a fast-stabilizing frequency domain parameter estimation method. J. Dyn. Syst. Meas. Control (4), 651–658 (2001)
- 39. Wyckaert, K., Augusztinovicz, F., Sas, P.: Vibro-acoustical modal analysis: reciprocity, model symmetry and model validity. J. Acoust. Soc. Am. 100(5), 3172-3181 (1996)
- 40. Xu, J.: A synthesis formulation of explicit damping matrix for non-classically damped sys-tems. Nucl. Eng. Des. 227(2), 125-132 (2004)
- 41. Zhang, Q., Lallement, G.: Comparison of normal eigenmodes calculation methods based on identified complex eigenmodes. J. Spacecr. Rockets 24, 69-73 (1987)

1134	
1135	
1126	
1107	
1137	
1138	
1139	
1140	
1141	
1142	
1143	
1144	
1145	
1146	
1147	
1148	
1149	
1150	
Book ID: 2	270321 1 En. Date: 2011-07-07. UNCORRECTED PROOF