# An Approximation-based Approach for the Random Exploration of Large Models

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### Abstract

System modeling is a classical approach to ensure their reliability since it is suitable both for a formal verification and for software testing techniques. In the context of model-based testing an approach combining random testing and coverage based testing has been recently introduced [9]. However, this approach is not tractable on quite large models. In this paper we show how to use statistical approximations to make the approach work on larger models. Experimental results, on models of communicating protocols, are provided; they are very promising, both for the computation time and for the quality of the generated test suites.

# 1 Introduction

Many critical tasks are now assigned to automatic systems. In this context, producing trusted software is a challenging problem and a central issue in software engineering. Recent decades have witnessed the strengthening of many formal approaches to ensure software reliability, from verification (model-checking, automatic theorem proving, static analysis) to testing, which remains an inescapable step to ensure software quality. A great effort has been made by the scientific community in order to upgrade hand-made testing techniques to scalable and proven framework.

Experience shows that random testing is a very efficient technique for detecting bugs, especially at the first stages of testing activities. The strength of random testing consists of its independence on tester's priority and choices. However, the nature of random testing is to draw randomly a test rather than choosing it, and it is therefore inefficient to detect behaviour of a program occurring with a very low probability. In [9], a random testing approach consisting of the exploration of large graph based models has been proposed. In order to tackle the problem of low probabilistic behaviour, the authors have also suggested to bias the random generation, by combining it with a coverage criterion, in order to optimize the probability to meet system' features described by this criterion. It however requires the computation of large linear systems, which becomes rapidly intractable in practice for large graphs.

In this paper we propose a sampling-based approach in order to compute approximated values of the system' solutions, deeply improving the efficiency of the computation. Experimental results on various graphs provided in the paper show a very significant time computation improvement while keeping similar covering statistical properties.

### 1.1 Related Work

A prevailing methods in model-based testing consists in designing the system under test by a graph-based formal model [26, 18] on which different algorithms may be used to generate the test suites. This approach has been used for a large class of applications from security of Android systems [23] to digital ecosystems [19]. A large variety of models can be used for model-based testing such as Petri nets [24], timed automata [27], pushdown automata [11], process algebra [2], etc. Moreover, a strength of model-based testing is that it can be combined with several verification approaches, such as model-checking [8] or those using SMT-solvers [1]. A general taxonomy with many references on model-based testing approach can be found in [25].

Random testing approaches have been introduced in [12] and are widely used in the literature, either for generating data [13, 16] or for generating test suites [21]. As far as we know, the first work combining random testing and model-based testing has been proposed in [14] as a combination of model-checking and testing. In [9] the authors have proposed an improved approach to explore the models at random. This technique has been extended to pushdown models [15, 11] and to grammar-based systems [10].

### 1.2 Formal Background

For a general reference on probability theory, see [20].

**Finite Automata.** Models considered in this article are finite automata, that are labelled graphs. More precisely, a finite automaton  $\mathcal{A}$  is a tuple  $(Q, \Sigma, E, I, F)$ , where Q is a finite set of states,  $\Sigma$  is a finite alphabet,  $E \subseteq Q \times \Sigma \times Q$  is the set of transitions,  $I \subseteq Q$  is the set of initial states, and  $F \subseteq Q$  is the set of final states. A path  $\sigma$  in a finite automaton is a sequence  $(p_0, a_0, p_1) \dots (p_{N-1}, a_{N-1}, p_N)$  of transitions. The integer N is the length of the path. If  $p_0 \in I$  and  $p_N$  in F,  $\sigma$  is said successful. The path  $\sigma$  visits a state q if there exists i such that  $p_i = q$ . An automaton is trim if every state is visited by at least one successful path. All automata considered throughout this paper are trim. An example of an automaton is depicted in Fig. 1 : its set of states is  $\{1, 2, 3, 4\}$ , the alphabet is  $\{a, b, c, d\}$ , its set of transitions is

$$\{(1, a, 3), (3, a, 3), (3, b, 3), (3, c, 4), (4, a, 4), (4, b, 4), (1, b, 2), (2, a, 2), (4, d, 2)\},\$$

its set of initial states is reduced to  $\{1\}$  and all its states are final.

Let  $\mathcal{A} = (Q, \Sigma, E, I, F)$  be a *n*-state automaton and  $q \in Q$ . We denote by  $\mathcal{A}_q$  the automaton on the alphabet  $\Sigma$  whose set of states is  $(Q \times \{0, 1\})$  (two copies of Q) and :

• Its set of initial states is  $I \times \{0\}$ ,



Figure 1: Illustrating example.



Figure 2: Illustrating example for constrained paths.

- Its set of final states is  $F \times \{1\} \cup (F \cap \{q\}) \times \{0\},\$
- Its set of transitions is  $E' = \{((p,0), a, (p',0)) \mid (p, a, p') \in E \text{ and } p \neq q\} \cup \{((p,1), a, (p',1)) \mid (p, a, p') \in E\} \cup \{((q,0), a, (p',1)) \mid (q, a, p') \in E\}.$

Intuitively, a successful path in  $\mathcal{A}_q$  starts with an initial state of the form  $(q_0, 0)$  and remains in a state of the form (p, 0) until it visits q. Then, if q is final in  $\mathcal{A}$  it may ends or continue with states of the form (p, 1). One can easily show that there is a bijection between the set of successful paths of  $\mathcal{A}_q$  of length N and the set of successful paths of  $\mathcal{A}$  of length N visiting q. denoted Let us consider for instance the automaton depicted in Fig. 1 and state 3. The corresponding automaton is depicted in Fig. 2 (states (4,0), (1,1) and (2,0) have to be removed to make the resulting automaton trim).

Automata used in many testing applications have a bounded outgoing degree. Throughout this paper, we consider that |E| = O(|Q|). Note that it is not a theoretical requirement: it is only used for the complexity issues. Indeed, all proposed algorithms work for any automata. Under this hypothesis, computing  $\mathcal{A}_q$  can be done in time  $O(n^2)$  and the resulting automaton has at most twice the number of states (regarless of the fact that |E| = O(|Q|)).

**Counting Paths.** We call NUMPATHS an algorithm that, given a finite automaton  $\mathcal{A}$  and a positive integer N, computes the number of successful paths of length N in  $\mathcal{A}$ . We call RANDOMPATH an algorithm that, given a finite automaton  $\mathcal{A}$  and positive integers N, k, randomly, uniformly and independently generates k successful paths of length N in  $\mathcal{A}$ . Several algorithms have been developed for processing NUMPATHS and RANDOMPATH [22], whose complexities depend on several parameters. Let us observe, without going into details, using floating point arithmetics, that NUMPATHS can be performed in  $O(nN \log N)$ , where n is the number of states of  $\mathcal{A}$ . And RANDOMPATH can be performed in time  $O(knN \log^2 N)$ . Note that the different approaches may have different meanings of time/space complexities, both for the preprocessing step and the generation step. The reader can see [22, Table 4] and [4, Table 1] for more details.

| length | paths  | number of paths |
|--------|--|-----------------|
| 1      | a, b   | 2               |
| 2      | aa, ab, ac, ba                                   | 4               |
| 3      | aaa, aab, aba, abb, aac, abc, aca, acb, acd, baa | 10              |

Table 1: Successful paths of length less than or equal to 3 for Example 1.

**Random Biased Exploration of Finite Automata.** The objective is here to biased the random generation of paths (i.e. not use a uniform random generation) in order to improve the state coverage of the automata. It is necessary to provide a quite detailed description of the algorithms in [22]. The first approach, denoted later Uniform, consists in uniformly picking up a given number of paths from the set of successful paths of a given length. The approach can be applied to very large graphs with hundreds of nodes (see [9, Section 6]). However, rare events can be missed up, and in order to optimize<sup>1</sup> the coverage criterion (let us present it here for nodes coverage<sup>2</sup>) of the graph, the following approach, denoted later Exact, is proposed to produce k successful paths of an automaton  $\mathcal{A}$  whose set of states is  $\{1, \ldots, n\}$ :

- 1. Choose a set S of successful paths (for instance those of length less than or equal to a constant N),
- 2. For each pair of nodes, compute the probability  $\alpha_{i,j}$  that a path of S visiting j also visits i,
- 3. Solve the linear programming system whose variables are  $p_{\min}, \pi_1, \ldots, \pi_n$ :

maximize 
$$p_{\min}$$
, under the constraints  

$$\begin{cases}
\text{for all } j, \ p_{\min} \leq \sum_{i=1}^{n} \alpha_{i,j} \pi_{i} \\
1 = \sum_{i=1}^{n} \pi_{i}
\end{cases}$$
(1)

Solution is a distribution  $\pi = (\pi_1, \ldots, \pi_n)$  of probabilities over the states of the automaton,

4. Repeat k times: pick a node i up at random according to the distribution  $\pi$ . Pick up at random (uniformly) a path visiting i.

The goal of the linear programming system is to optimize the minimal probability  $p_{\min}$  of a state to be visited by a random path.

Let us illustrate this approach on the example depicted in Fig. 1. Note that if the goal is to cover a given proportion of the set of states (for instance) Step 4. can be replaced by: generate paths as soon as the wanted proportion of states are visited by these paths. There are 16 successful paths of strictly positive length less than or equal to 3 reported in Table 1. Since the automaton is deterministic, one can identify successful paths with their labels. Let  $S_{\text{exa}}$  be this set of paths.

There are 4 out of 16 paths of  $S_{\text{exa}}$  visiting state 2. Therefore, the probability of visiting state 2 by uniformly generated paths of  $S_{\text{exa}}$  is  $\frac{1}{4}$ . In order to generate a path visiting 2, one has to generate averagely 4

 $<sup>^{1}</sup>$ Computing test suites of a reduced size is a major issue in the testing process, since executing test on the system is frequently a complex issue (not adressed in this paper).

<sup>&</sup>lt;sup>2</sup>The approach can easily be adapted for transitions coverage.

tests. Moreover, for this example  $\alpha_{i,j}$ 's matrix is

$$\left(\begin{array}{rrrrr} 1 & 1 & 1 & 1 \\ 0.25 & 1 & \frac{1}{13} & \frac{1}{6} \\ 0.825 & 0.25 & 1 & 1 \\ 0.375 & 0.25 & \frac{6}{13} & 1 \end{array}\right).$$

For instance,  $\alpha_{1,i} = 1$  for every *i* since all paths visit 1. Similarly,  $\alpha_{3,4} = 1$  since all paths visiting 4 also visits 3. There are four paths (b, ba, baa and acd) of needed length visiting 2 and, among these paths, only acd visits 4. Therefore  $\alpha_{4,2} = \frac{1}{4}$ . The resolution<sup>3</sup> of linear programming systems (1) provides in this context the solution:  $\pi_1 = 0, \pi_2 = 0.526315, \pi_3 = 0, \text{ and } \pi_4 = 0.473685.$  In this context, the biased approach covers all states averagely with less than 3 generated paths.

The bottleneck of this approach is Step 2. since computing the  $\alpha_{i,j}$  requires many manipulations on the graphs (it requires to compute the  $(\mathcal{A}_i)_i$ ): for each  $i \neq j$ , Algorithm NUMPATHS has to be applied to graphs 4 times larger than the initial ones. The complexity is in  $O(n^3 N \log N)$  with quite large involved constants. making the approach intractable for big n's.

#### 1.3Contributions

In this paper we propose to not exactly compute the  $\alpha_{i,j}$  but instead to approximate them by using statistical sampling, as described in Section 2. Experimental results on several examples of communication protocols models are provided in Section 3. The paper reports on very promising experimental results: the computation time is significantly better for a similar quality of the large graphs coverage.

#### Approximating the Linear Programming Systems 2

In this section, we propose to approximate the coefficients  $\alpha_{i,j}$  by  $\alpha_{i,j}^{\text{approx}}$  by using classical sampling techniques. Using m times Algorithm RandomPath, one can count as  $m_i$  the number of paths visiting i, and  $m_{i,j}$  the number of paths visiting both *i* and *j*. If  $m_i \neq 0$  then  $\alpha_{i,j}^{\text{approx}} = \frac{m_{i,j}}{m_j}$ .

#### 2.1Approximation Algorithm

More precisely, let there be a trim finite automaton  $\mathcal{A} = (Q, A, E, I, F)$ , a strictly positive integer m, a strictly positive integer N and a strictly positive integer r (the parameter r is used to provide some bounds on the precision of the approximation: each evaluation of a parameter is estimated using a sample of size at least r).

(Step 1): Generate m successful paths in  $\mathcal{A}$  of length less than or equal to N uniformly. For each  $i \in Q$ , let  $m_i^{\text{approx}}$  be the number of these paths visiting *i*, and  $m_{i,j}^{\text{approx}}$  be the number of these paths visiting both i and j.

(Step 2): For each  $i, j \in Q, i \neq j$ 

- (a) If r = 0 and  $m_j^{\text{approx}} = 0$ , then let  $\alpha_{i,j}^{\text{approx}} = 0$ , (b) If  $m_j^{\text{approx}} > r$ , let  $\alpha_{i,j}^{\text{approx}} = m_{i,j}^{\text{approx}}/m_j^{\text{approx}}$ ,
- (c) If  $m_j^{\text{approx}} \leq r$ , generate r paths visiting i and set  $\alpha_{i,j}^{\text{approx}}$  as the proportion of these paths visiting j.

<sup>&</sup>lt;sup>3</sup>Resolutions have been performed using the lp\_solve solver.

(Step 2): For each  $i \in Q$ ,  $\alpha_{i,i}^{\text{approx}} = 1$ .

Let us illustrate the approach on the example depicted in Fig. 1, with N = 4 and r = 0. Rather than compute exactly the  $\alpha_{i,j}$ 's, we randomly and uniformly generate 1000 paths of length less than or equal to 3. We obtain the following matrix for the  $\alpha_{i,j}^{\text{approx}}$ :

| ( | 1     | 1     | 1      | 1      |   |   |
|---|-------|-------|--------|--------|---|---|
|   | 0.243 | 1     | 0.0835 | 0.1778 |   |   |
|   | 0.826 | 0.284 | 1      | 1      |   | • |
| ĺ | 0.288 | 0.284 | 0.4697 | 1      | Ϊ |   |

The resolution of systems provides the solution  $\pi_1 = 0$ ,  $\pi_2 = 0.538019$ ,  $\pi_3 = 0$  and  $\pi_4 = 0.461981$ .

In this example, there are 243 paths visiting 2, 826 paths visiting 3 and 288 paths visiting 4. Therefore, running the algorithm with r = 250 will change the second column of the matrix since  $m_2^{\text{approx}} < 250$ . In this case, the automaton for the paths visiting 2 is computed. Generating 250 paths visiting state 2 provides the following matrix:

| 1 | 1     | 1     | 1      | 1      |   |   |
|---|-------|-------|--------|--------|---|---|
| 1 | 0.243 | 1     | 0.0835 | 0.1778 |   |   |
|   | 0.826 | 0.256 | 1      | 1      |   | • |
|   | 0.288 | 0.256 | 0.4697 | 1      | Ϊ |   |

The resolution of systems provides the solution  $\pi_1 = 0$ ,  $\pi_2 = 0.524965$ ,  $\pi_3 = 0$  and  $\pi_4 = 0.475035$ .

Section 3 describes more experiments and provides details, both on the quality of the results and on the time to compute the  $\alpha_{i,j}$ 's.

Notice too that, as mentioned in [9], the optimal solution leads to a loss of randomness: many  $\pi_i$ 's a null. It is proposed in [9] to fix minimal probability to the  $\pi_i$ 's. It can be directly adapted in our approach by adding, in the programming linear system, some inequations of the form  $\pi_i \geq \varepsilon$ . This situation would not be considered in the experiments developed in this paper.

### 2.2 Complexity

We investigate in this section the worst case complexity of the proposed algorithm. Step (1) can be performed in time  $O(mnN\log^2 N + mn^2)$ : first the *m* paths are generated in time  $O(mnN\log^2 N)$ . These paths are not stored but a table *t* of size  $m \times n$  is filled in the following way: t[i][j] = 1 if the *i*-th path visits state *j*, and t[i][j] = 0 otherwise. It is done on the fly and in time O(nm). The  $m_i^{\text{approx}}$  are calculated by computing columns sums in time O(nm) too. Similarly, each  $m_{i,j}^{\text{approx}}$  can be computed in time O(m). Therefore, computing all of them is performed in time  $O(mn^2)$ .

Step 2-(a) is performed in time O(1) as well as Step 2-(b). Step 2-(c) is performed in time  $O(rnN\log^2 N)$ : computing the specific automaton is done in time O(n) (under the hypothesis that the number of transitions is in O(n)).

Step 3 is performed in time O(n).

In conclusion, if we denote by s the number of calls to Step 2-(c), the complexity is:  $O(((sr+m)nN\log^2 N + mn^2))$ .

A small r (for instance r = 0) will provide a small s (s = 0), but a coarser approximation, as exposed in the next section.

#### Precision of the $\alpha_{i,i}^{\text{approx}}$ 's $\mathbf{2.3}$

Each  $\alpha_{i,j}$  is the parameter of Bernoulli's Law (see [20, Section 2.2]). The precision of the estimation can classically be obtained using either Bienaymé-Chebyshev's Inequality [5, 7] or Hoeffding's Inequality [17]. First, assuming that  $m_i^{\text{approx}} > r$ , then Bienaymé-Chebyshev's Inequality provides for any  $\varepsilon > 0$ :

$$\mathbb{P}(|\alpha_{i,j}^{\text{approx}} - \alpha_{i,j}| \ge \varepsilon) \le \frac{\alpha_{i,j}(1 - \alpha_{i,j})}{\varepsilon^2 m_j^{\text{approx}}} \le \frac{1}{4\varepsilon^2 r}$$

and if  $m_i^{\text{approx}} \leq r$ , it provides:

$$\mathbb{P}(|\alpha_{i,j}^{\text{approx}} - \alpha_{i,j}| \ge \varepsilon) \le \frac{\alpha_{i,j}(1 - \alpha_{i,j})}{\varepsilon^2 r^2} \le \frac{1}{4\varepsilon^2 r}$$

In order to have an  $\varepsilon = 0.1$  precision with a 0.95 confidence level, r has to be fixed to 500 (this is an upper bound).

Secondly, one can have another evaluation using Hoeffding's Inequality (better in most of cases): for any  $0 < \rho < 1$ ,

$$\mathbb{P}\left(\left|\alpha_{p,q}^{\text{approx}} - \alpha_{p,q}\right| \ge \varepsilon\right) \le 2e^{-2r\varepsilon^2}.$$

In order to have an  $\varepsilon = 0.1$  precision with a 0.95 confidence level, r has to be fixed to 185 (this is also an upper bound).

Let us note that the two above inequalities provide upper bounds that are not very tight: for states jfrequently visited by random paths,  $m_i$  will be significantly greater than r, and the estimation of the algorithm will be very precise. As it is shown in the next section, running the algorithm with r = 0 frequently provides very acceptable solutions and very good solutions with r = 10. For r = 10 the two bounds above do not ensure precise estimations: Hoeffding's Inequality states that with a 0.8 confidence level we have an estimation of  $\alpha_{i,i}$ with  $\varepsilon = 0.34$ . An hypothesis explaining why r = 10 works is that it is important to detect whether while visiting j the probability to also visit i is significant. But it's not critical to know how significant it is, for instance if  $\alpha_{i,j} = 0.1$  or 0.4; it is important to know that generating a path visiting j will quite frequently provide a path visiting i.

Finally, other statistical tools can be used to obtain bounds on r, for instance the well-known central limit theorem.

#### Experiments 3

This section is dedicated to an experimental evaluation of the proposed approximation-based approach. In Section 3.1 the set of used automata is described. Section 3.2 explains the experimental protocol. Finally, the obtained experimental results are provided in Section 3.3, both for the quality of the approach and for computation time.

#### Benchmark 3.1

Experiments have been done on several automata modeling communication protocols designed for the FAST tool [3] available<sup>4</sup> online as a library of parametric counter automata (the parameter can be, for instance, the

<sup>&</sup>lt;sup>4</sup>http://www.lsv.fr/Software/fast/examples/examples.tgz

| r=0         | 90%       | 95%       | 99%       | 100%      |
|-------------|-----------|-----------|-----------|-----------|
| RW          | 4.38 2-10 | 4.38 2-10 | 4.38 2-10 | 4.38 2-10 |
| Uniform     | 4.22 2-11 | 4.22 2-11 | 4.22 2-11 | 4.22 2-11 |
| Approx 10   | 4.65 2-13 | 4.65 2-13 | 4.65 2-13 | 4.65 2-13 |
| Approx 1000 | 4.18 2-12 | 4.18 2-12 | 4.18 2-12 | 4.18 2-12 |
| Exact       | 4.42 2-12 | 4.42 2-12 | 4.42 2-12 | 4.42 2-12 |

Barber1 15 states 18 transitions

| r=0             | 90%              | 95%               | 99%               | 100%              |
|-----------------|------------------|-------------------|-------------------|-------------------|
| RW              | 428 18-1724      | $790\ 159 - 2062$ | $2451.4\ 5997727$ | $2451.4\ 599-727$ |
| Uniform         | 22.58 9-45       | 35.45 14-79       | 92.36 32-216      | 92.36 32-216      |
| Approx 10       | 11.7 7-21        | 17.2 10-52        | 39.3 16-181       | $39.35\ 16 - 181$ |
| Approx 1000     | 10.46 7-20       | 14.9 8.31         | 30.9 11-73        | $30.9\ 11{-}73$   |
| Exact           | 11.23 7-24       | 15.5 8-30         | 29.8 13-71        | 29.8 13-71        |
| Dekker1 86 stat | es 178 transitio | ns                |                   |                   |

Dekker1, 86 states, 178 transitions

| r=0         | 90%        | 95%        | 99%          | 100%         |
|-------------|------------|------------|--------------|--------------|
| RW          | 15 9-27    | 21.5 13-37 | $37.8\ 1870$ | 50.5 19-130  |
| Uniform     | 31.2 16-51 | 47.7 22-88 | 87.6 40-166  | 115.5 45-278 |
| Approx 10   | 19.5 13-34 | 28.1 17-51 | 48.6 27-146  | 67.9 30-146  |
| Approx 1000 | 18 10-29   | 24.5 13-38 | 40.3 20-74   | 50.0 26-86   |
| Exact       | 18.4 12-30 | 24.8 18-38 | 39.5 23-63   | 49.6 26-102  |

Fsm1, 120 states, 582 transitions

| r=0         | 90%         | 95%             | 99%                | 100%               |
|-------------|-------------|-----------------|--------------------|--------------------|
| RW          | 102.1 8-430 | $178.3\ 16-735$ | $330.6\ 16{-}1125$ | $330.6\ 16{-}1125$ |
| Uniform     | 9.7 2-35    | 13.1 2–39       | 21.9 2-90          | 21.9 2-90          |
| Approx 10   | 2.9 2-11    | 3.5 2–11        | 5.1 2-23           | 5.1 2 - 23         |
| Approx 1000 | 3.0 2-8     | 3.0 2-8         | 3.5 2-8            | 3.5 2 - 8          |
| Exact       | 3.3 2–9     | 3.3 2–9         | 3.7 2–9            | 3.7 2 - 9          |

Moesi2, 22 states, 43 transitions

| r=0         | 50%        | 90%         | 95%          | 99%                | 100%                   | ]                         |
|-------------|------------|-------------|--------------|--------------------|------------------------|---------------------------|
| RW          | 11.5 6-18  | 80.6 45-153 | 122.8 73-247 | $260.8\ 120{-}514$ | $342.4\ 156-649$       |                           |
| Uniform     | 8.78 7-13  | 54.4 36-82  | 80.9 51-12   | 180.972 - 333      | 277.3 105-776          | Kanban1, 160 states, 1151 |
| Approx 10   | 7.49 5-10  | 37.6 27-56  | 53.3 38-91   | $102.6\ 56-205$    | 140.6 59-347           |                           |
| Approx 1000 | 7.6 6 - 11 | 35.8 24-51  | 50.9 32-80   | 88.11 58-147       | 58-147 106.8 62 $-179$ |                           |
| Exact       | 7.6 5-10   | 34.9 24-46  | 47.6 33-63   | 82.3 55 - 121      | 101.5 56 - 165         |                           |

transitions

Figure 3: Comparative results (1) for number of generated tests

| r=0                      | 90%                                     |             | 95%                               |                | ]            |
|--------------------------|---|-------------|-----------------------------------|----------------|--------------|
| RW                       | 54991.6 39414-69917                     |             | 155803 117044-2                   | 214680         |              |
| Uniform                  | 937.1 827-1044                          |             | 1493 1240-1                       | 76             |              |
| Approx 10                | 7899 718-884                            |             | 1129.7 980-1292                   |                |              |
| Approx 1000              | 704.7 651-759                           |             | 974.1 892–1066                    |                |              |
| Exact                    | 708 655–769                             | 708 655-769 |                                   | 698.8 867-1101 |              |
|                          | 99%                                     |             | 100%                              | ]              |              |
| RW                       | $10^6 \ 10^510^7$                       | 1           | $10^7 \ 10^610^7$                 |                |              |
| Uniform                  | 3405.7 2760-4186                        | 11          | 049 6563 - 22480                  | Ttp8,          | 3201 states, |
|                          | · I · · · · · · · · · · · · · · · · · · |             |                                   | • • •          | ,            |
| Approx 10                | 1998.8 1707-2282                        | 11          | .962 3336-62763                   | 6765           | transitions  |
| Approx 10<br>Approx 1000 | 1998.8 1707–2282<br>1625.3 1441–1841    |             | 962 3336-62763<br>410.8 2265-7144 | 6765           | transitions  |

| r=0         | 90%         | 95%             | 99%               |             | 100%                | ]    |
|-------------|-------------|-----------------|-------------------|-------------|---------------------|------|
| RW          | 505 248-850 | 1073 460 - 1777 | 5702.6 1395-16035 | RW          | 21750 2579 - 119811 | ]    |
| Uniform     | 67.6 47-92  | 106.6 73-160    | 226.6 115-471     | Uniform     | 372.3 165-809       | Prod |
| Approx 10   | 53.05 40-73 | 73.3 55-106     | 134.1 90-226      | Approx 10   | 193.3 97-439        |      |
| Approx 1000 | 49.1 39-64  | 67.6 51.95      | 115.1 79-199      | Approx 1000 | 158.1 85-300        | ]    |
| Exact       | 49.7 39-72  | 66.8 51-95      | 114.4 76-168      | Exact       | 157.4 91-270        | ]    |

cons10, 286 states, 600 transitions

Figure 4: Comparative results (2) for number of generated tests

number of communicating processes). For several examples and parameters, the counter automaton has been faltered into a classical finite automaton. The list is given in Table 2: first column contains the name of the protocol with the value of the parameter. The second and the third columns respectively report on the number

| Name         | States | Transitions | Eccentricity | Nb. of paths      |
|--------------|--------|-------------|--------------|-------------------|
| Barber1      | 15     | 18          | 5            | 74                |
| Berkeley3    | 1376   | 3974        | 51           | $1,33 \ 10^{39}$  |
| Consistency3 | 806    | 1206        | 600          | $5,63 \ 10^{153}$ |
| Csm1         | 24     | 57          | 8            | 934000            |
| Dekker1      | 86     | 178         | 17           | $8,80 \ 10^{11}$  |
| Dragon3      | 103    | 696         | 50           | $2,34 \ 10^{93}$  |
| Fms1         | 120    | 582         | 14           | $1,41 \ 10^{20}$  |
| Illinois3    | 103    | 307         | 100          | $2,23 \ 10^{90}$  |
| Kanban1      | 160    | 1151        | 14           | $3, 31 \ 10^{20}$ |
| Lift3        | 499    | 587         | 302          | $7,24 \ 10^{59}$  |
| Moesi2       | 22     | 43          | 11           | $3,84 \ 10^8$     |
| Prodcons10   | 286    | 660         | 20           | $3,51 \ 10^{7}$   |
| Ttp8         | 3201   | 6765        | 32           | $4,30 \ 10^7$     |

Table 2: Graphs used for benchmarking.



Figure 5: Illustrating example with different optimal solutions.

of states of the automaton and the number of transitions. The fourth column provides the eccentricity<sup>5</sup> of the automaton, that is the maximal distance of an edge to the initial states. Finally, the last column gives the approximate number of successful paths in the automaton of length less than or equal to twice the eccentricity. Note that in these graphs all states are final.

### 3.2 Experimental Protocol

For each protocol, we have measured the number of tests/generated paths required to cover either 50%, or 90%, or 95%, or 99%, or 100% of the states. Several values close to 100% have been chosen since many biased approaches have been introduced to handle rare events, and many methods will efficiently cover 50% or 70% of the graph. It is harder to cover the remaining last states. We have compared 5 different approaches. First, the RW Approach consists in performing isotropic random walks in the automaton: once in a state, the next one is picked up uniformly among its neighbours. The path ends either when it reaches a dead-end state, or when its length is twice the eccentricity. The second approach, denoted Uniform, is the one introduced in [9]: paths of length bounded by twice the eccentricity are uniformly generated. The approach denoted Exact is the biased approach proposed in [9], where the linear system is exactly computed. The Approx 10 and Approx 1000 approaches are the ones proposed in this article: for 10 [resp. 1000] the  $\alpha_{i,j}$ 's are approximated using 10n [res. 1000n] randomly generated paths, where n is the number of states.

Note that comparing the distribution  $\pi$  given by the exact approach and the approximation-based approaches is not easy. Indeed, a linear programming system may have different optimal solutions. Let us consider for instance the example depicted in Fig. 5. The set of successful paths visiting 3 is the same as the set of successfully paths visiting 4. Therefore, in any optimal solutions of the linear programming system given  $\pi_3 = x$  and  $\pi_4 = y$ , one can do the following changes:  $\pi_3 = z$  and  $\pi_4 = t$  with z + t = x + y, and we also obtain an optimal solution.

### 3.3 Qualitative Experimental Results

Since the test generation procedures are randomized, performance is stochastic. For each example and each coverage proportion, each approach has been experimented 100 times. For each case, we report on the average number of tests obtained in order to cover the wanted proportion, but also the minimum number of tests (the best case), and the maximum number of tests (the worst case).

Results presented in Figs. 3 and 4 are obtained with r = 0: there is no a priori guarantee on the precision of the approximations. Results presented in Fig. 6 are obtained with r = 0 and with r = 10 (and in one case with r = 50). For instance, the second table in Fig. 3 reports on the result for Dekker1: in order to cover 95%

<sup>&</sup>lt;sup>5</sup>Eccentricity is an important parameter since it is the minimal length required for paths to have a chance to visit each state.

| (seconds)              | Berkeley3 | Consistency3 | Dragon3 | Lift3 | Illinois3 |
|------------------------|-----------|--------------|---------|-------|-----------|
| Exact                  | 26401     | 40964        | 29      | 4337  | 18        |
| Approx 10 $(r=0)$      | 1         | 58           | 1       | 8     | 0.4       |
| Approx 1000 $(r = 0)$  | 186       | 5794         | 21      | 813   | 39        |
| Approx 10 $(r = 10)$   | 16        | 110          | 1       | 12    | 1         |
| Approx 1000 $(r = 10)$ | 208       | 5890         | 25      | 862   | 41        |
| Approx 10 $(r = 50)$   | —         | 124          | _       | _     | -         |

Table 3: Time to compute the linear programming system.

of the set of the states, the RW approach requires on average 790 paths. In the best case (of the experiments), it only requires 159 paths, and in the worst case 2062 paths have been generated. For the same coverage, the Uniform approach requires 35.45 paths in average. The Exact approach only requires 15.5 paths in average.

Relatively to the other approaches, the performance of RW deeply depends on the topology of the automaton. For instance, for Prodcons10 or Ttp8 or Moesi2, RW is ugly, and requires much more tests to (partially) cover the set of states. For Fms1, RW is as efficient as Exact. For some automata, there is no result for RW: after hours of computation, the approach was not able to cover 90% of the set of states. In these cases, some states occur with a so low probability on random walks, that in practice it is not possible to generate a path visiting them.

One can see that for Barber1, Dekker1, Fms1, Moesi2, Kanban1, Ttp8 and Prodcons10, all biased approaches are better (cover the set of states with less paths) than the uniform one. Moreover, the Exact approach is better than the approximate ones, but not significantly with the Approx 1000. Consider for instance Fms1: the Uniform approach requires on average 87.6 paths to cover 99% of the states. With Approx 10 this number falls to 48.1, and it falls to 40.3 with Approx 1000. The Exact approach requires 29.8 paths on average.

The results for Lift3 are similar but the Approx 1000 is not so close to the Exact approach. For Berkeley3, Illinois3 and Dragon3, the Exact approach is clearly more efficient to cover the set of states. It is similar for Consistency3, but only for the 100% coverage criterion. A significant case is Illinois3: the Exact approach requires on average a unique path to cover all states, while the Approx 1000 approach requires 47 paths. For all these examples there is a huge number of paths, and many states j are visited with a very low probability by a path: the corresponding  $\alpha_{i,j}$ 's are set to zero since r = 0, thus providing a very bad approximation. For instance, for Illinois3, 84 states over the 103 states are not visited by any random paths. We run the experiment with Approx 1000 and r = 10. The obtained results are presented in Fig. 6: these results are much better and close to the ones of the Exact approach.

In conclusion, for the quality of the coverage, running Approx 10 with r = 10 seems to be an efficient solution.

### 3.4 Computation Time

Let us note first that for all approaches, generating paths is done practically in a very efficient way. As mentioned before, the bottleneck step is the computation of the linear programming system. In Table 3, the time (in seconds) used to compute the linear programming system is given for the protocols Berkeley3, Consistency3, Dragon3, Lift3 and Illinois3. The results are similar for the other protocols. For Illinois3, using Approx 1000 is less efficient than using the Exact approach. The reason is that the automaton is quite small. However, for other cases, using the approximation-based approaches is faster. And it is significantly faster for large automata. For instance, for Consistency3, while the Exact approach requires more than 11 hours, and only about 90 minutes

| r=0                    | 90%              | 95%              | 99%               | 100%                |
|------------------------|------------------|------------------|-------------------|---------------------|
| Uniform                | 176 153-240      | $315\ 258 - 375$ | 630 486-834       | 1203 837-2376       |
| Approx 10              | 166 142-212      | 301 253-377      | $648 \ 431 - 884$ | 2127 797-5611       |
| Approx 1000            | 171 259-381      | 307 259-381      | 673 485-1070      | $1973 \ 807 - 4485$ |
| Exact                  | $166\ 143 - 203$ | 294 256-346      | 589 440-816       | 1084 689–1783       |
| Approx 10 $(r = 10)$   | 168 140-231      | $297\ 256 - 376$ | $626 \ 479 - 780$ | $1474.9\ 730-4749$  |
| Approx 1000 $(r = 10)$ | 168 133-211      | 300 258-359      | 624 489-828       | $1390\ 765 - 4042$  |
| Approx 10 $(r = 50)$   | 168 141-208      | 300 250-373      | $610 \ 480 - 848$ | $1292\ 710 - 2715$  |

Consistency3, 806 states,

1206 transitions

| r=0                    | 90%        | 95%         | 99%         | 100%         |
|------------------------|------------|-------------|-------------|--------------|
| Uniform                | 10.56 4-30 | 23 6-100    | 64.7 10-400 | 85.3 10-400  |
| Approx 10              | 5.4 3-14   | 9 4-46      | 24.7 8-107  | 34.9 9 - 137 |
| Approx 1000            | 4.4 3-7    | 6.6 4-23    | 13.9 6-37   | 18.9 7-51    |
| Exact                  | 3.9 3-6    | 5.5 4-9     | 9.5  6 - 20 | 13.1 6-24    |
| Approx 10 $(r = 10)$   | 3.8 3-4    | 5.4  4  7   | 8.9  6 - 15 | 12.9 8-23    |
| Approx 1000 $(r = 10)$ | 3.7 3–5    | $5.3 \ 4-7$ | 9.0 7-14    | 12.4 7-20    |

Lift3, 499 states, 587 transitions

| r=0                    | 90%        | 95%         | 99%         | 100%         |
|------------------------|------------|-------------|-------------|--------------|
| Uniform                | 22.5 7-101 | 35.8 12-135 | 69.6 24-267 | 112.1 34-317 |
| Approx 10              | 18.3 9-47  | 29.1 12-76  | 63.2 15-231 | 98.5 34-267  |
| Approx 1000            | 14.7 6-29  | 25.2 11-55  | 56.7 13-184 | 87.2 13-319  |
| Exact                  | 10.8 6-17  | 15 7-25     | 22.8 10-41  | 29.9 10-57   |
| Approx 10 $(r = 10)$   | 10.6 5-17  | 14.6 6-29   | 23.5 12-47  | 30.7 16-79   |
| Approx 1000 $(r = 10)$ | 10.1 6-18  | 14.3 8-26   | 22.6 14-42  | 29.5 14-79   |

Dragon3, 103 states, 696 transitions

| 90%         | 95%   | 99%   | 100%   |
|-------------|---|---|--|
| 337 235-448 | 594 400-863   | 1551 993-2262   | 4470 2316-9974   |
| 222 167-286 | 384.8 258-536   | 1014.6 655-1382   | 2886.6 1504-5251   |
| 189 134-247 | 323 232-498   | 854 467-1302  | 2116 1108-2886   |
| 103 60-185  | 137.0 84-210  | 199.6 109-364   | 224.1 128-510  |
| 102 66-149  | 138 80-280  | 207.0 107-399   | $233.6\ 140{-}412$   |
| 102 67-202  | 137 86-256  | 206 112-423   | 231 122-508  |
|             | 337         235-448           222         167-286           189         134-247           103         60-185           102         66-149 | 337         235–448         594         400-863           222         167–286         384.8         258-536           189         134–247         323         232–498           103         60–185         137.0         84–210           102         66-149         138         80-280 | 337         235–448         594         400-863         1551         993–2262           222         167–286         384.8         258-536         1014.6         655-1382           189         134–247         323         232–498         854         467–1302           103         60–185         137.0         84–210         199.6         109–364           102         66-149         138         80-280         207.0         107–399 |

Berkley3, 1376 states, 3974 transitions

| r=0                    | 90%      | 95%         | 99%            | 100%        |
|------------------------|----------|-------------|----------------|-------------|
| Uniform                | 8.8 1-46 | 16 1-73     | 40.1 1-209     | 68.43 1-338 |
| Approx 10              | 7.5 1-27 | 12.1 1-46   | $30.1 \ 1-150$ | 56.9 1-314  |
| Approx 1000            | 6.2 1-38 | 11.25 1-61  | 29.1 1-210     | 48.3 1-234  |
| Exact                  | 1.0 1-2  | 1.0 1-2     | 1.0 1-2        | 1.1 1-2     |
| Approx 10 $(r = 10)$   | 1.0 1-2  | $1.0 \ 1-2$ | $1.1 \ 1-2$    | 1.2 1–3     |
| Approx 1000 $(r = 10)$ | 1.0 1-2  | $1.0\ 1-2$  | 1.1 1-2        | 1.2 1-2     |

Illinois3, 1524 states, 307 transitions

Figure 6: Comparative results (3)

|                        | 90%         | 95%                 | 99%            | 100%            |
|------------------------|-------------|---------------------|----------------|-----------------|
| Uniform                | 680 573-786 | $1198 \ 947 - 1492$ | 2942 2358-3612 | 9413 5487-19533 |
| Approx 10 $(r = 10)$   | 316 287-349 | 476 437-529         | 878 775–1037   | 2065 1223-4337  |
| Approx 1000 $(r = 10)$ | 313 281-345 | $467 \ 415 - 515$   | 864 729–1037   | 1926 1252–3514  |

Table 4: Results for Centralserver2.

are needed for Approx 1000 (with r = 0).

In all cases, the best compromise seems to use Approx 10 with r = 10: the computation time is strongly better, and the quality of the biased approach is similar to the Exact approach, except for Consistency. For this protocol, we run the Approx 10 with r = 50 and we obtain better results, closer to the Exact approach, with a very short computation time (about 2 minutes, in comparison to 11 hours for the Exact approach).

### 3.5 Experiments on Large Graphs

We have experimented the approaches on a model of the Centralserver2 protocol, which has 2523 states and 18350 transitions, an eccentricity of 63, and about 8,04  $10^{113}$  successful paths of length less or equal to 126. By computing the first  $\alpha_{i,j}$ 's, we estimate that the computation time of the linear programming system with Exact will require about 200 days. The linear programming system with Approx 10 and Approx 1000 (r = 10) has been computed respectively in 81 seconds and in 24 minutes. The obtained qualitative results compared to Uniform are given in Table 4.

We also used the algorithm proposed in [6] to randomly generate two trim automata with respectively 5659 states (with 17007 transitions) and 11251 states (with 33753 transitions). The approximated linear programming system obtained by the Approx 10 and Approx 1000 approaches (with r = 10) has been computed in respectively 5.5s and 613s for the first graph, and in respectively 26.3s and 1162s for the second graph.

# 4 Conclusion

In this paper we proposed an approximation-based approach for the random biased exploration of large models. It has been experimented on several examples: in practice the approximation is not too coarse, and the quality of the generated test suites to cover the states of the model is excellent compared to the exact approach and to the other random approaches. For computation time, using approximation is significantly better since the approach can be used on graphs with more than 10000 states. In the future we plan to investigate recent advances in optimization in order to improve the computation time.

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