# Thermal annealing effect on the structure, optical and electrical properties of Lanthanum manganite thin films prepared by reactive co-sputtering

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Abstract: Lanthanum manganite (LMO) thin films were deposited by co-sputtering of La and Mn 11 targets in Ar and O<sub>2</sub> gas mixture. The films were synthesized on silicon and fused silica substrates. 12 The influences of thermal annealing on the structure, optical and electrical properties of LMO films 13 were investigated. The results exhibited a correlation between these properties. In the amorphous 14 state, an increase in annealing temperature improved the optical transmission and decreased the 15 electrical capacitance. The beginning of crystallization at 600°C was manifested by a strong increase 16 in the capacitance and a decrease in the optical transmission. At higher annealing temperature, 17 polycrystalline films were obtained with different optical and electrical characteristics. On the other 18 hand, the annealed LMO films showed a photocurrent effect during exposure to a weak LED light. 19

**Keywords:** Lanthanum manganite; Perovskite materials; reactive co-sputtering; capacitance meter; 20 photocurrent 21

### 1. Introduction

Perovskite materials are a real gold mine for materials science. They are functional 24 compounds with tailor-made characteristics [1,2,3]. Indeed, these materials have shown 25 very rich phase diagrams and a great ability to absorb strong nonstoichiometric effects 26 allowing to modulate, among others, their electronic, magnetic and optical properties 27 [4,5].

Moreover, depending on their chemical compositions and crystallographic 29 structures, these materials can be considered as a superconducting material at relatively 30 high temperatures [6], convert heat or mechanical pressure into an electric current [7], 31 accelerate chemical reactions or even produce an electric current by exposing them to light 32 [8,9]. 33

Therefore, perovskite materials have promising properties for many technological 34 applications such as modern electronics (memories, capacitors, ultrafast electronics...), 35 fuel cells and transparent ceramics, or photovoltaic cells [10,11]. 36

These perovskite materials can be organic, inorganic or hybrid. The methods of their 37 elaboration are also diverse and depend on the targeted properties, the production cost 38 and the expected applications [12]. In the photovoltaic field, the chemical method is the 39 dominant method for the deposition of thin layers of these materials. The organic and 40 hybrid varieties are the most studied in the literature. In fact, the development of solar 41 cells containing hybrid or organic perovskites as light-absorbing material has been 42 extremely fast and has allowed to reach record yields, competing with the records of 43

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silicon-based solar cells. This success is due to the fact that hybrid perovskites have 44 remarkable optical and electronic properties, combining the advantages of organic and 45 inorganic semiconductors. Although these choices are motivated by the ease, the speed 46 and the cost of the elaboration, nevertheless the stability of the films as well as the toxicity 47 of certain elements used remain weak points of this strategy [13]. 48

The use of inorganic materials remains a solution to circumvent these problems. 49 Especially, as it is easier to control the stoichiometry or non-stoichiometry of these 50 compounds prepared in vacuum. This non-stoichiometry, for example, can be important 51 to improve the optical, magnetic and electrical characteristics of perovskite materials 52 [14,15] 53

Among inorganic materials for photovoltaic application, we find materials based on lanthanum and manganese mainly prepared by chemical means [16,17,18]. However, the perovskite structure is generally preferred to obtain the best performances and especially the electrical efficiency. Indeed, in addition to the good mobility of electrical charges [19], perovskite structure has other advantages such as a good absorption of light [20].

The current study can be considered a part of this field and consists of studying 59 lanthanum manganite thin films deposited by reactive magnetron co-sputtering process. 60 The objective of this study is to understand how the induced perovskite structuring by 61 annealing can contribute in the evolution of the structural, optical and electrical properties 62 of this oxide. 63

A singularity of this work, lies in the choice of the stoichiometry of the LMO films and the use of simple analytical techniques, especially the capacitance meter with mercury probe in order to study the LMO film electrical properties (capacitance, photocurrent). Indeed, we made the choice to deposit slightly more Mn than La in order to evaluate the effect of probable induced lanthanum and/or oxygen deficiencies in the film on the different characteristics mentioned above.

#### 2. Experiments

LMO thin films were deposited by co-sputtering of La (purity 99.9 at.%, diameter 50 71 mm, thickness 3 mm) and Mn (purity 99.9 at.%, diameter 50 mm, thickness 3 mm) targets 72 in Ar and O2 gas mixture. Such a deposition technique easily allowed us to vary the 73 stoichiometry ratio La/Mn by changing discharge power on both targets. The sputtering 74 reactor is a 90 L cylinder Alcatel 604 SCM pumped down via a turbomolecular pump 75 system that allows a residual vacuum below 10<sup>-4</sup> Pa. Ar and O<sub>2</sub> gas flow rates were 76 controlled with Brooks flowmeters with  $Q_{Ar}$  = 50 sccm and  $Q_{02}$  = 20 sccm, respectively. 77 The total pressure ( $P_{tot} = 0.45 Pa$ ) was measured with a Baratron manometer from MKS 78 Instruments. N-doped silicon (orientation (111), phosphorus doped, 2x2 cm<sup>2</sup>) as well as 79 fused silica slide (2x2 cm<sup>2</sup>) were used as substrates. Before each deposit, all our substrates 80 have been cleaned with ethanol. The fused silica samples were additionally washed with 81 soap and water. The rotating substrate holder was parallel to La and Mn sources at a 82 distance of about 60 mm and all depositions were carried out at floating temperature 83 (T<sub>substrate</sub> < 70 °C).

The La and Mn targets were supplied with a pulsed DC (Direct Current) Advanced Energy dual generator allowing the control of the discharge power. The discharge currents of La and Mn were fixed to 0.5 A and 0.34 A respectively. The discharge power was changed on both targets to adjust the film composition. The principal parameters used for the elaboration of our films are summarized in the table below.

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Target	Discharge Power (W)	Frequency (kHz)	Toff (μs)	Ar Flow Rate (sccm)	Work- ing Pressure (Pa)	Run Dura- tion (min)	Draw Distance (DT-S) (mm)	Discharge Current (A)
La	82-128	50	4	50	0.45	10	60	0.5
Mn	100-105	70	4	50	0.45	12	60	0.34

Table 1. Films deposition parameters.

After the synthesis of the film with the desired composition, annealing at different temperatures (400 °C, 500 °C, 600 °C and 700 °C) of the sample for 3 hours is performed in the furnace under air. After each annealing, the structural, optical and electrical properties of the film were studied.

The chemical composition was performed by Energy Dispersive X-ray Spectroscopy 104 (EDS, Bruker nano, Berlin, Germany). These measurements were performed on the 105 sample before annealing. The film's thickness was measured by step method with an 106 Altisurf Profilometer (Altisurf 500) manufactured by Altimet society. Before each 107 measurement, the calibration of the experimental device was realized with a reference 108 sample number 787569 accredited by CETIM organization. 109

The structural characterization of coatings was performed by Bragg-Brentano 110 configuration X-ray diffraction (XRD) using a BRUKER D8 focus diffractometer (Bruker 111 AXS, Karlsruhe, Germany) with a cobalt X-ray tube (Co K $\alpha_1$ + $\alpha_2$  radiations  $\lambda$  = 0.178897 112 nm) and equipped with a LynxEye linear detector. 113

Diffractograms were collected in air in the angle range  $20^{\circ}-80^{\circ}$  at a scan speed (20) of 114 0.1/s.

The evolution of the optical transmission as a function of the wavelength (200-1500 116 nm) was measured using a Lambda 950 spectrophotometer from Perkin Elmer. The 117 determination of the optical gap of the film is deduced from the Tauc relation [21]. 118

LMO thin film electrical properties were measured with the MDC 802C mercury 119 probe capacitance meter (Materials Development Corporation). Only the film deposited 120 on silicon has been characterized. The MOS (Metal Oxide Semiconductor) structure is 121 mandatory for these measurements. Our film is therefore considered as an oxide. The 122 operation of this tool is as follows: the sample to be characterized is placed on the support, 123 upside down, i.e. the film to be characterized is at the bottom. The lever is lowered to be 124 in contact with the silicon at the back of the sample. A drop of mercury rises through a 125 capillary and comes into contact with the film to be characterized. The surface of the drop-126 in contact with the film is very precisely controlled, and is  $4.72 \times 10^{-3}$  cm<sup>2</sup>. This probe is 127 connected to an Agilent 4284A impedance analyzer. This device measures the complex 128 impedance of the structure when subjected to a sinusoidal bias of the form  $U(t) = V_g(t) + V_g(t)$ 129 s(t) with s(t) = S<sub>0</sub> sin( $\omega$ t). The gate bias voltage V<sub>g</sub>(t), which varies in steps so that each 130 measurement point is obtained at thermodynamic equilibrium, allows to probe the 131 different operating regimes of the structure (accumulation, depletion and inversion). The 132 small sinusoidal signal s(t) allows to extract the differential capacity and thus Ctot versus 133  $V_{g}$ , named C-V curve. The flat band voltage VFB can be deduced from the C-V curve by 134 using the flat band capacitance ( $C_{FB}$ ) method [22]. With this method, the ideal  $C_{FB}$  value is 135 calculated from the film capacitance and the Debye length. After the value of CFB is known, 136 the value of VFB can be deduced from the C-V curve data, by interpolating between the 137 closest V<sub>g</sub> values. More details on this technique can be found in previous paper [23]. 138

A simple LED lamp (from PREVAC, 1W power) was introduced under the sample to 139 evaluate the photoelectric effect of the film (see Figure 1). Only the sample side with the 140

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deposition was illuminated. The operation consists of measuring the current over a141specific time in the dark (closed box during 1 minute) and under illumination (closed box142+ LED light during 2 minutes) and in dark again during 1 minute. The sample was143polarized at -2 V during the measurements. This voltage is applied in order to create the144electric field that will separate the electron-hole pair generated by the light in the film.145



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*Figure 1.* A schematic diagram of the experimental setup of the capacitance meter with a mercury probe.

3. Results

The thickness of the studied films is measured to be 375 nm. The EDS elemental 149 spectra of LMO film confirms the presence of La and Mn elements in the film with atomic percentage measured to be 48 % and 52 % respectively. 151

Figure 2 shows the XRD patterns of the LMO film versus annealing temperature. The152as deposited film was amorphous and after annealing at 400 °C and 500 °C, no significant153peaks were detected. The film crystallization started at 600 °C, with a small diffraction154peak at 38.22°. Other smaller peaks of lower intensity were also observed at 26.73°, 30.76°,15547.46° and 55.02° angles. Finally, after annealing at 700 °C the peak at 30.76° was vanished156while the intensity of the other peaks increased and two new peaks (62.35° and 69.23°)157were appeared.158



Figure 2. XRD spectra of the LMO film as deposited and after annealing (400°C, 500°C, 600°C and160700°C). The peaks indicated by the circles represent the perovskite structure La0.887MnO3 and the161triangle indicates the Mn3O4 phase.162

The optical spectra of Figure 3(a) show that the transmission increased after 163 annealing at 400 and 500 °C. The film transmittance then decreased after annealing at 164 600°C, and finally the film transmittance decreased drastically after annealing at 700°C. 165 From the transmittance drop region, we deduced the optical gap of each measurement. 166 The latter is estimated from the intersection of the extrapolation of the curve giving 167  $(\alpha h \upsilon)^{0.5} = f(h \upsilon)$  with the x-axis [21] (see Figure 3(b)), where  $\alpha$  is the absorption coefficient 168 which can be calculated using the following formula :  $\frac{1}{Thickness} ln\left(\frac{1}{Transmission}\right)$ , h is Planck 169 constant and v is the light's frequency. It was 1.74 eV before annealing then 1.93 eV after 170 annealing at 400°C, 500°C and 600°C. However, after annealing at 700°C the film was so 171 opaque that it was impossible to determine the optical gap (<< 1 eV). 172





Figure 3. : (a) Transmission spectra of the LMO film as deposited and after annealing (400°C, 500°C,175600°C and 700°C). (b) Determination of the optical gap.176

Figure 4(a) shows the capacitance measurements obtained before and after the 177 different annealing processes. The dielectric constants (K), calculated from the maximum 178 value of  $C_{ox}$  in accumulation, of each measurement are also displayed. The shape of the 179 curves is almost identical and some evolutions are noted (Figure 4(b)). First, the value of 180 the dielectric constant (red curve) decreased after the first annealing at  $400^{\circ}$ C (from K = 21 181 to K = 14), remained almost stable after the second annealing at about 500 °C (K = 13) then 182 increased strongly after annealing at 600  $^{\circ}$ C (K = 30) to finally drop after the last annealing 183 at 700°C (K = 7). Secondly, the flat band voltage VFB (black curve) increases strongly after 184 the annealing at 400°C. Then, it decreases after the annealing at 500°C and 600°C. Finally, 185 after annealing at 700°C, VFB rises again. The variation of VFB, is reflected by a shift of the 186 C (V) curves to the right, as it is the case after annealing at 400°C and 700°C, or to the left 187 after annealing at 500 and 600°C 188



**Figure 4.** (a) C-V characteristics curves at 1 MHz of Hg-LMO-Si MOS structures obtained for the 190 LMO film as deposited and after annealing with the values of the relative dielectric constants K. (b) 191



Flat band voltage  $V_{FB}$  (black curve) and dielectric constant (red curve) in function of different 192 annealing temperatures. 193

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Figure 5. Capacitance-voltage hysteresis measurements at 1 MHz of the LMO film after annealing196at 600 and 700°C respectively. Inset: zoom-in on the C-V of the LMO film after 600°C showing the197small hysteresis loop.198

Figure 5 shows the measured C-V hysteresis characteristics of the LMO film after200annealing at 600 and 700 °C. A zoom is performed to appreciate the extent of the201hysteresis. A small dip (marked with a rectangle in Figure 5) in the weak inversion region202of the C-V curves is visible. This behavior only concerns the curve obtained at 600°C and203disappears after annealing at 700°C.204

The exposure of the film to LED light, although very weak, led to the generation of a 205 photocurrent. Figure 6 shows the results obtained after annealing at 600°C and 700°C. We 206 observe that the current through the film is almost stable at the beginning in the dark. This 207 electric current represents the leakage current in the material and it is measured in 208 function of the illuminated surface (i.e. sample size of 4 cm<sup>2</sup>). When the LED is turned on, 209 the current increases and then returns to its initial value by turning off the light. One 210 evolution is noticed after the annealing at 700°C. The value of the current in darkness 211 increased from about -0.03  $\mu$ A/cm<sup>2</sup> to -0.06  $\mu$ A/cm<sup>2</sup>. On the other hand, the variation of 212 the current induced by the light seems to be the same, approximately 2.5 nA/cm<sup>2</sup>. 213



Figure 6. Current-time measurements obtained with an applied voltage of -2V on the LMO film 215 after annealing at 600 and 700°C and exposed or not to an LED light. 216

#### 4. Discussion

Our films are all 375 nm thick. This thickness choice is justified by the objective of obtaining a good photoelectric efficiency of our LMO layer. Indeed, it is generally 219 accepted that if the thickness of the absorbing material is very small, then there will be a 220 weak absorption of light and consequently a weak photogeneration of excitons. On the 221 other hand, if the film is very thick, many charge carriers recombine before leaving this 222 material [24]. 223

Concerning the XRD patterns of LMO films shown in Figure 2, it is possible to 224 appreciate that the diffraction peaks agree with the La0.92MnO<sub>3</sub> [25] structure. Accordingly, 225 the peaks indicated by the circles represent the perovskite structure and the triangle 226 indicates the Mn<sub>3</sub>O<sub>4</sub> phase. These statements agree with the EDS results where La rate is 227 slightly lower than Mn one. The peak at  $2\theta = 30.76^{\circ}$  which was obtained at annealing 228 temperature of 600 °C has disappeared after annealing at 700 °C. This observation could be explained by the transformation of the Mn<sub>3</sub>O<sub>4</sub> phase into Mn<sub>5</sub>O<sub>8</sub> one, even less 230 important and poorly crystallized [26]. 231

The transmittance increase after the film was subjected to 400°C thermal annealing 232 could be attributed to the decrease in defects and structural relaxation of the amorphous 233 film. The second annealing at 500°C did not change the optical transmission since the 234 crystal structure of the film remained the same. After the third annealing at 600°C, the 235 transmittance is decreased due to the onset of crystallization and changes in local bonding. 236 This decrease in transmittance is even more apparent after the fourth annealing at 700°C. 237 Indeed, the transmittance decreased due to larger scale crystallization, with the formation 238 of a few major crystalline phases. This trend of transmittance decreasing is consistent with 239 theoretical models based on Rayleigh-Gans-Debye theory, according to which diffusion 240 increases and thus transmittance decreases with increasing grain size [27,28]. 241

The drop of the optical gap translated by the drop of the transmission after the 242 annealing at 700°C, could be explained, in addition to what was advanced before, by the 243

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amorphous-crystalline transformation as observed from the XRD data and reported 244 elsewhere [29]. 245

After the first and second annealing, the amorphous structure gives way to grains 246 whose quantity increases with the annealing temperature. The dielectric loss caused by 247 the grain boundaries is increased and consequently the dielectric constant decreased 248 (Figure 4b). However, when the processing temperature is relatively high ( $600^{\circ}$ C), the 249 number of grains is then reduced due to the coalescence of small grains into larger poly 250 crystal grains; and consequently, the dielectric loss is considerably reduced. This leads to 251 an increase in the dielectric constant. Increasing the annealing temperature further (700°C) 252 resulted in more crystallization of the film into a multitude of crystallites of various 253 orientations and separated by a multitude of grain boundaries. This polycrystallinity 254 could explain the drop of the dielectric constant. These results agree with what has been 255 observed and modeled for ferroelectric (Ba,Sr)TiO3 thin films and pyrochlore-type 256 Pb(Mg,Nb,Ti)O<sub>3</sub> dielectric films [30]. 257

For n-type substrate, the positive shift of the flat band voltage (i.e. displacement of 258 the C-V curve to the right) means that the film has lost positive charges. In other words, it 259 is richer in oxygen. However, a negative shift of the C-V characteristics is caused by 260 positive fixed charges. The change of oxidation states of the Mn transition metals or/and 261 the creation of oxygen vacancies at the grain boundaries of the polycrystalline structure 262 in the film, could be at the origin of this behavior. This explanation is consistent with a 263 proven fact that oxygen deficiencies generally tend to decrease with increasing 264 temperature [31]. 265

Concerning the I(t) curves results, the improvement of the induced current by 266 applying -2V to the structure in the absence of light is mainly explained by the 267 improvement of the crystal structure of the film. We could also attribute it to an 268 improvement of the silicon/film interface as a result of the annealing. Indeed, the small 269 dip observed in Figure 5 would indicate that the LMO film contains interface trapped 270 charges between the film and the silicon wafer. However, this contribution would remain 271 low since our C-V measurements after annealing at these temperatures (600 °C and 700 272 °C) do not show strong hysteresis (see Figure 5). Hysteresis that we could attribute, among 273 others, to interface defects. This improvement of the crystallinity has a negative effect on 274 the dielectric constant which has decreased (from 30 to 7). Consequently, the film does not 275 separate efficiently the excitons produced by the light [32]. Therefore, we have less 276 photocurrent. This could explain the second observation. In other words, what we have 277 gained by creating order in the material, has been lost by the decrease of the dielectric 278 constant. 279

Although our light source does not correspond to what is usually used in the literature especially in terms of power, wavelength and standards [33], our first results show that LMO material could be a promising absorber material in a photovoltaic cell. 282 These results show also that it is possible to optimize the temperature and the annealing time to improve the photocurrent. 284

#### 5. Conclusions

LMO films have been deposited on silicon and fused silica substrates by cosputtering of Lanthanum and Manganese targets in Ar and O<sub>2</sub> gas mixture. The effects of annealing on the structure, optical and electrical properties of LMO films are investigated. 288

For annealing temperatures below 600°C the film is amorphous and transparent. The 289 amount of LMO grains during crystallization increases leading to more dielectric losses 290 and the insertion of oxygen in the film. The annealing at 600°C marks the beginning of the 291 crystallization of the film and the optical transmission decreases. The Mn<sub>3</sub>O<sub>4</sub> and 292 perovskite phases coexist in this case. The coalescence of the grains leads to a drop in the 293 dielectric losses and thus a strong increase in the dielectric constant. The annealing at 294 700°C leads to a drastic drop of the optical transmission and of the dielectric constant. The 295

	reinforcement of the perovskite structure, the disappearance of the Mn <sub>3</sub> O <sub>4</sub> phase and the improvement of the silicon/LMO interface are also observed. The exposure of the annealed samples at 600 °C and 700°C to a very low intensity LED light leads to the production of a photocurrent. The gain obtained thanks to the crystallization and the improvement of the Si/LMO interface is counterbalanced by the fall of the dielectric constant. Therefore, the photocurrent is almost the same in both cases. Finally, by elaborating LMO films by PVD technique we can easily control the stoichiometry. With a simple LED lamp and by using a simple characterization technique, we can generate a photo-current. This way of doing things, could give ideas to explore the other ways.	296 297 298 299 300 301 302 303 304 305 306
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