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First Principles Investigation of the Substitutional Doping of rare-earth elements and Co in La₄MgNi₁₉ Phase

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Abstract

RE-Mg-Ni alloys, including AB₃, A₂B₇, A₅B₁₉ and AB₄ types, have received extensive attention due to their excellent hydrogen storage properties. La₄MgNi₁₉ is the one of the outstanding candidate for hydrogen storage. In this work, The structure, phase stability and electronic structure of the different La-site partial substituted by Pr, Sm, Gd, Nd and NI-site substituted by Co have been investigated by means of the density functional theory.

The calculation results show that La₄MgNi₁₉ alloy shows the negative enthalpy of formation, indicating the more stable in the thermodynamic. When the substitution of La occurs, among the two sites La(2c) and La(4f), Pr, Nd, Sm and Gd preferentially occupy the La(4f) site. And the addition of the four doping elements will reduce the stability of the phase. Among them, Pr substituted La₄MgNi₁₉ has the highest structural stability. When Co substituting Ni, a single Co atom occupies the Ni(12k) preferentially among the seven different Ni positions. During this process, the crystal structure will be destabilized. The DOS results show that the system still puts up the metallic character after substitution. Sm(La(4f)) has the maximum valence band width. The stability of four doped alloys from high to low is: Pr, Nd, Sm, Gd, which is consistent with the enthalpy of formation results. The enthalpy of formation of hydrides shows that, the bounding hydrogen capacity of the system can be obtained as Nb > Sm > Pr > Gd.

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Keyword: Hydrogen energy storage, Rare-earth-based alloys, Total energies, Electronic structure.

27 **1. Introduction**

With the continuous consumption and depletion of fossil 28 energy[1], as well as the more and more serious 29 30 environmental pollution[2], the search for new clean energy 31 has become imminent[3]. As a clean energy, hydrogen energy has incomparable advantages over other clean energy 32 33 sources, such as solar energy, wind energy, and geothermal 34 energy [4]. But in the process of today's hydrogen economy, hydrogen storage is the biggest hurdle[5]. Lots of traditional 35 or novel methods are applied to store hydrogen(gaseous or 36 atomic)[6], including high-pressure hydrogen storage, ultra-37 low temperature hydrogen storage, physical adsorption 38 39 hydrogen storage, and chemical hydrogen storage. Among 40 them, chemical hydrogen storage[7], which means that, 41 allowing hydrogen gas to react with alloy to form corresponding hydride, and lead hydrogen to be stored in the 42 alloy matrix in atomic form, is the most promising hydrogen 43 storage method due to its safety and high theoretical 44 capacity[8]. 45

Chemical hydrogen storage can be achieved through a variety 47 of materials, including alloys[9], intermetallic compounds 48 [10], complex hydrides[11], etc. Among intermetallic 49 compounds, rare earth-based alloys have superior hydrogen 50 storage properties[12]. In today's commercial fuel cells, 51 LaNi₅ alloy[13] occupies a large proportion in Ni-MH cells. 52 Meanwhile, RE-Mg-Ni alloys have attracted extensive 53 attention due to their higher hydrogen storage capacity than 54 55 LaNi5. This type of alloy has a special structure and is 56 formed by stacking A2B4 and AB5 structures, and the basic expression is m[RMgNi4] · n[RNi5][14]. According to this 57 formula, it can be obtained that RE-Mg-Ni alloys have AB₃, 58

A₂B₇, A₅B₁₉ and AB₄ types. Among them, the properties of
A₂B₇ alloy and A₅B₁₉ alloy are the most attractive.

62 Since K.Kadir[15] et al. synthesized La₄MgNi₁₉ intermetallic 63 compound, the structure and properties of this type of alloy have been studied by a large amount of scholars. The results 64 of YimingLi[16] et al. show that the La4MgNi19 intermetallic 65 compound after casting and annealing has a maximum 66 hydrogen storage capacity of 1.572 wt.%, a maximum 67 discharge capacity of 355.1 mAh/g, and a HRD of 93.3% 68 after 300 cycles. Qingan Zhang[17] et al. investigated the 69 phase stability, structural transformation, and hydrogen 70 71 absorption and desorption characteristics of La4MgNi19 72 intermetallic compound, and it was found that in the range of 73 840-960 °C, there are two variants of La4MgNi19 intermetallic compound, namely the stable hexagonal 74 structure (2H) at higher temperature and the rhombohedral 75 structure (3R) at lower temperatures. In order to optimize its 76 performance, lots of researchers have carried out treatments 77 such as heat treatment and element substitution. When 78 performing element substitution, rare earth elements such as 79 Pr[18], Sm[19], Gd[20], and Nd[21] were always used to 80 substitute La, and Co[22] to substitute Ni. Unfortunately in 81 these articles, the elements used are only synthesized 82 according to the composition after the element substitution. It 83 does not indicate that element substitution has actually 84 occurred, what it indeed means is, only nominal element 85 86 substitution has been carried out. Moreover, few literatures 87 have considered theoretical calculations on La4MgNi19. 88 Proper theoretical calculations[23] can be applied to explain the transform in properties after substitution of various 89 elements more intuitively and in more details. 90

In this work, the single-element substitution of La4MgNi19 91 intermetallic compound was researched by first principles 92 density functional theory calculation. In the calculation, Pr, 93 Sm, Gd and Nd elements were employed to substitute La and 94 Co to substitute Ni through the total energy calculation, the 95 positions preferentially occupied by the substitution elements 96 were determined, and the variation rule of the formation 97 enthalpy was revealed, which can provide guidance for the 98 element substitution for the hydrogen storage alloys, and help 99 reasearchers to understand the mechanism of substitution. 100

102 2. Computational models and method

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Figure 1 shows the structure of La4MgNi19. Its unit cell 103 belongs to the P63/MMC space group, and the lattice 104 parameters are a=0.5032 nm, c=3.2223 nm. The unit cell of 105 La4MgNi19 contains 2 formula units, that is to say, the 106 107 chemical formula is La₈Mg₂Ni₃₈. Among them, 8 La atoms 108 occupying La(2c) and La(4f) sites, 38 Ni atoms occupy 109 Ni(2a), Ni(2b), Ni(2d), Ni(4e), Ni(4f), Ni(12k1), Ni (12k2) 110 site and 2 Mg atoms occupy the La(4f) site, respectively. At this site, La occupies 41.2% of each atom and Mg occupies 111 58.8% of each atom, all structural parameters are from the 112 literature[8]. In order to study the case of element substitution, 113 the aforementioned substitution atoms were introduced into 114 the structure. In this case, one La atom or one Ni atom was 115 substituted. Substitution atoms were sequentially introduced 116 into different positions of La or Ni, and the corresponding 117 total energies were calculated. In this work, the formation 118 enthalpy is defined as the difference between the total energy 119 and the energy of the constituent elements in the steady state. 120 The enthalpy of formation can be used to demonstrate 121 whether a compound is thermodynamically superior to the 122 constituent elements, and subsequently determine which 123 positions are preferentially occupied by these elements. 124 125

The calculation relied on the CASTEP programme, which is 126 a first principles quantum mechanical code based on the 127 density functional theory[24]. The Perdew-Burke-Ernzerhof 128 (PBE) generalized gradient approximation (GGA) exchange 129 and correlation potential were used in the calculations. In the 130 calculation, ultrasoft pseudopotentials[24] were used for 131 replacing the core electrons. The energy cutoff is 380 eV and 132 the k-point sets are $6 \times 6 \times 2$ during the process of geometry 133 optimization calculations. The corresponding k-point sets are 134 6×6×2 for pure and rare earth-doped La4MgNi19 unit cell. 135 Other parameters for geometry optimization are below: 136 convergence criteria: 5.0×10⁻⁶ eV/atom, maximum force: 137 0.01 eV/Å, maximum stress: 0.02 GPa and maximum 138 displacement: 5.0×10⁻⁴ °A. 139

3. Results and discussion

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Before the initial of calculations, the La4MgNi19 lattice was 141 fully geometrically optimized in advance, including lattice 142 parameters and atomic coordinates. The experimental data 143 from the literature[8] and the geometrically optimized data 144 are contrasted in Table 1. The changed parameters are listed 145 in the table, while the unchanged parameters, such as La(2c), 146 Ni(2a), Ni(2b) and Ni(2d) coordinates are not listed. As can 147 be seen from the table, in the whole data table, the maximum 148 difference is not more than 1.6%, which can prove that the 149 calculated data is in high agreement with the experimental 150 data from the literature. 151



Fig. 1. The structure of La4MgNi19 (shown as a unit cell, La8Mg2Ni38)

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170 The calculation of the enthalpy of formation was performed 171 after the geometric optimization. One Pr, Sm, Gd or Nd atom 172 was introduced to replace La, forming La7XmMg2Ni38 (m is the position of the La atom, which is La(2a) or La(4f), and X 173 is the substitution element, which is Pr, Sm, Gd or Nd) or a 174 Co atom is introduced into the crystal structure to replace the 175 Ni atom at a different position and form La8Mg2Ni37Con (n is 176 the different position of the Ni atom, which is Ni(2a), Ni(2b), 177 Ni(2d), Ni(4e), Ni(4f), Ni(12k1) or Ni(12k2)). 178

The results are shown in Figures 2. According to Figure 2, 180 the formation enthalpy of La4MgNi19 alloy is lower than that 181 of the four doped alloys, which indicates that the phase 182 stability will be reduced when the four rare earth elements are 183 separately doped into the alloy. In the formation enthalpy of 184 La4MgNi19 with four doping elements substituted for La, 185 when La(4f) is substituted, an alloy whose enthalpy is lower 186 than that of La(2c) position is formed, indicating that these 187 four elements will preferentially occupy La(4f) during the 188 element replacement process. In addition, according to the 189 formation enthalpy, it can be judged that the order of phase 190 stability of the substitued alloys is: Pr>Nd>Sm>Gd. The 191 article of Li et al.[25] showed that, the cycle performance 192 when different rare earth elements replace La. It can be found 193 that the discharge capacity of Pr4MgNi19 decays quickly after 194 several cycles, while the capacity decay of La4MgNi19 is 195 slower, which shows that after Pr substitutes La, the stability 196 of the structure decreases. Chen et al. research[26] showed 197 that the maximum discharge capacity decreases and the 198 discharge rate increases with the increase of Sm, which 199 indicates that the phase stability decreases gradually. The 200 experimental results of Li R et al.[27] in the electrochemical 201 test part of the article show that as the Gd content in the allow 202 increases, the discharge rate of the alloy gradually increases, 203 which indicates that the phase stability gradually decreases. 204 Xin Z et al.[28] found when Nd is replaced and reduced, the 205 capacity retention of HRD gradually increases, so the 206 presence of Nd reduces the phase stability. These results 207 show that the simulation results are highly consistent with the 208 experimental results in the literature. As Figure 2 showed, 209 compared with the enthalpy of formation of La4MgNi19 210 where Co replaces Ni at any position, the enthalpy of the 211 substrate alloy is the lowest, which shows that no matter 212 which position of Ni Co pinnings on, the stability of 213 La4MgNi19 will be reduced. Among all the sites of Ni, the 214 enthalpy of Co occupying Ni(12k2) is the 215

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 Table 1

 Experimental[8] and calculated structural parameters of La4MgNi19

Lattice parameter				Atomic coordinates					
	experimental calculated			experimental			calculated		
a –	0.5032nm	0.5032nm	La(4f1)	1\3	2\3	0.12591	1\3	2\3	0.12793
с	3.2191nm	3.2191nm	La(4f1)	1\3	2\3	0.01687	1\3	2\3	0.01705
			Ni(4e)	0	0	0.12759	0	0	0.12539
			Ni(4f)	1\3	2\3	0.87576	1\3	2\3	0.87478
			Ni(12k1)	0.8350	2x	0.06549	0.8331	2x	0.06475
			Ni(12k2)	0.8350	2x	0.18998	0.8333	2x	0.1879



Fig.2. (a) The enthalpy of formation of La₄MgNi₁₉ where La substituted by Pr, Sm, Gd or Nd; (b) The enthalpy of formation of La₄MgNi₁₉ where Ni substituted by Co.

lowest, which reveals that Co will preferentially occupy the 233 Ni(12k2) site during the process of element substitution. Liu 234 J. et al.[14] found that the HRD would be significantly 235 improved when Sm was substituted for La, because the 236 addition of Sm will lead to grain refinement. Sm pinning at 237 the grain boundaries prevents the growth of grains, at the 238 same time the increased hydrogen storage kinetics also 239 indicated a decrease in phase stability. The experimental 240 results of Lu H H et al.[29] showed that Co substituting Ni 241 would increase the discharge rate, which means a decreased 242 stability of phase. It is also consistent with the above 243 conclusion on structural stability[30]. The results of 244 experiment of Wei F S et al.[31] showed that the maximum 245 discharge capacity increases with the increase of Co, but the 246 high-rate dischargeability decreases with the increase of Co, 247 but the difference is not significant. This is consistent with 248 the conclusion that the enthalpy of formation is not much 249 different from our calculation results, but the phase stability 250 is reduced. In conclusion, the hydrogen storage performance 251 be optimized by designing rational element 252 can substitution[32][33]. 253

To discuss the effect of the substitutions at the La site on the electronic structure, the total densities of states (TDOSs)[34] are plotted in Fig. 3 for $La_7M_{(La(2c) \text{ or } La(4f))}Mg_2Ni_{38}$ (M = Pr, Nd, Sm, Gd). On account to making use of the double cell model of La4MgNi_19, the TDOS are twice as big as the data of the unit cell. And the Fermi level $E_F[35]$ has been set as the zero point of the energy in every figure. The demonstration of results of the electronic structure of pure La4MgNi₁₉ are shown below.

As shown in Figure 3(a), the main bonding peaks of 263 La4MgNi19 alloy lie in the range of -17 to -15 and -10 to EF. 264 In the range of -17 to -15, La P and Mg P orbitals are highly 265 bonded, while in the range of -10 to -5, the orbitals of Mg s, 266 Mg P, Ni s and Ni P are bonded, and in the range of - 5 to E_F , 267 the bonding peaks of Ni d, a small amount of La d and a 268 small amount of Mg P appeared. In summary, the orbitals of 269 La, Mg and Ni elements are extensively hybridized. The 270 high-strength bonding of electrons[36] in these high-energy 271 orbitals determines the phase stability. The valence band 272 width [37] can be calculated from the scale from the E_F in the 273 DOS figure to the point whose vertical coordinates is 0. It is 274 shown that the valence band width of the La4MgNi19 is 10.41. 275

The bonding states are formed by the interaction between the 276 hybridization orbital of atom s, p, d and f orbital[38]. In order 277 to give a qualitative characteristics of electronic structure in 278 these alloy, the partial density of states (PDOSs)[39] plots for 279 La, Mg, Ni, and X (X = Pr, Gd, Nd, Sm) atoms are given in 280 Fig. 3(b-i). It can be acquired that all of the PDOS of the 281 elements have crossed the Fermi level EF, which shows that 282 after the element substitution occurs, the system of the 283 overall material still puts up the metallic character. Compared 284 with the valence band width of the La4MgNi19 10.41, the 285 valence band width of rare-earth-doped La4MgNi19 is 286 increased, which are 10.96, 10.51, 10.98, 10.96, 10.91, 10.99, 287 (Gd(La(2c)), Gd(La(4f)),10.95. 11.00 Nd(La(2c)). 288

Nd(La(4f)), Pr(La(2c)), Pr(La(4f)), Sm(La(2c)), Sm(La(4f))). 289 Among them, Sm(La(4f)) has the maximum width, which is 290 due to the contribution of the d and f orbit of the Sm element. 291 And then, in the energy range of -17 to E_F, the intensity of the 292 bonding peaks of La, Mg and Ni decreases because of the 293 involving of substitution elements. Meanwhile, in the energy 294 range of -18 to -15 and -5 to EF, the doping elements 295 participate in the bonding of the original atoms. Due to the 296 doping of the corresponding substitution elements, the 297 original bonding peak strength is weakened, so the phase 298 stability is weakened. In addition, for different doping 299 elements, the energy intensity of their doping element orbitals 300 participating in the bonding peak can determine their phase 301 stability. The more they participate, the weaker the phase 302 bondings are and the weaker their stability of the phases are. 303 According to the bonding peak intensity of each doping 304 element in the DOS diagram, it can be concluded that the 305 order of the stability of the phases is as follows: 306 307 Pr>Nd>Sm>Gd. This is in agreement with the calculated enthalpy of formation. Futhermore, for different La 308 substitution positions, for 4 different doping elements, when 309 the doping elements substitute on the La(4f) position, the 310 energy values of these main bonding peaks, namely La p, Mg 311 p, Ni d orbitals, are higher than that of the doping elements 312 on the position of La(2c). As for the energy value of the f 313 orbital of the doping elements, the corresponding value of 314 La(4f)-doping alloys are lower than that of La(2c)-doping 315 alloys, which indicates that the bonding strength of the 316 La(4f)-doping is relatively low, revealing that the doping 317 elements are less involved in the original bonding, so the 318 stability of alloys are less disturbed, which equals to a high 319 phase stability. The mentioned conclusion above is also 320 consistent with the result of the formation enthalpy. 321 Meanwhile, the intereaction[40] of Ni d and rare-earth f is 322 very strong, the order of the intensity of interaction is 323 Gd>Sm>Nd>Pr. 324

The bounding hydrogen capacity of the La-doped hydrides 325 also been calculated because the application of these 326

compounds. Through this, the theorical properties of 327 hydrogen storage of these compounds can be obtained. 328

In order to explore the order of the theoretical hydrogen 329 storage capacity for different doped elements, it must be 330 assumed that every interstitial space that can accommodate 331 hydrogen atom has already accommodated hydrogen atom. 332 Therefore the full model of La4MgNi19 hydrides should be 333 built. To built it, we assumed that the space group is as same 334 as the corresonding alloy. Owing to the structure, namely 335 RNi5+RMgNi4, the positions of hydrogen should be 336 considered separately. For RNi5 structure, the positions were 337 338 set according to LaNi₅H₇[41], which include La₂Ni₂ site, Ni₄ site and La2Ni4 site. Meanwhile, for RMgNi4, the positions of 339 hydrogen refers to the structure of ZrMn₂H₃[42], which 340 include Zr₂Mn₂ (241) site, Zr₂Mn₂ (12k) site and two Zr₂Mn₂ 341 (6h) sites. 342

After building the structure, the entahlpy of formation of 343 hydride can be obtained by the formula 344

$E_{for} = E_t(La_7MMg_2Ni_{38}H_{25}) - E_t(La_7MMg_2Ni_{38}) - 25E_i(H)$ (1)345

where Et(La7MMg2Ni38H25) and Et(La7MMg2Ni38) refer to 346 the total energy of two compounds. $E_i(H)$ is the total energy 347 of single hydrogen. Efor is defined as the bounding hydrogen 348 capacity. The more negative it is, the stronger the hydrogen 349 bounding energy, which means the more capacity. 350

The formation energy of hydrogen atom and total energy for 351 the hydrides of La7M(La(2c) or La(4f))Mg2Ni38 (M = Pr, Nd, Sm, 352 Gd) are listed in Table 2. The total energy of single hydrogen 353 atom is -11.032 eV. As can be seen from Table 2, the 354 formation energy for the hydrogen atoms in La₈Mg₂Ni₃₈H₂₅ 355 is -4.3671 eV. After the substitution it increases to between 5 356 to 7 for La7MMg2Ni38 (M = Pr, Nd, Sm, Gd). This reveals 357 that the substitutions of La by rare earth elements could 358 promote the bounding hydrogen capacity of the compounds. 359 Therefore, the formation energy of hydrogen atom ordered by 360 doped elements is Nb > Sm > Pr > Gd > base alloy. It means 361 that the order of bounding hydrogen capacity of the 362 substituted alloys is Nb > Sm > Pr > Gd. 363

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Table 2

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The formation energy	of hydrogen atom	and total energy	v of La7M(La(2c) or	La(4ft)Mg2Ni38	(M = Pr, N)	ld. Sm.	Gd)	

Structure	E _t (eV)	E _{for} (eV)	Structure	E _t (eV)	$E_{for}\left(eV\right)$
La8Mg2Ni38H25	-60572.5115	-4.3671	La7Sm(La(2c))Mg2Ni38H25	-61233.7066	-6.4151
$La_7Pr_{(La(2c))}Mg_2Ni_{38}H_{25}$	-61879.1495	-6.2028	$La_7Sm_{La(4f))}Mg_2Ni_{38}H_{25}$	-61233.9578	-6.5754
La7Pr(La(4f))Mg2Ni38H25	-61879.3403	-6.3344	La7Gd(La(2c))Mg2Ni38H25	-60696.7329	-5.9111
La7Nd(La(2c))Mg2Ni38H25	-62256.7413	-6.8443	La7Gd(La(4f))Mg2Ni38H25	-60696.8708	-5.8928
$La_7Nd_{(La(4f))}Mg_2Ni_{38}H_{25}$	-62257.6297	-6.9741	/	/	/



Fig.3. (a)The DOS of La₄MgNi₁₉ (b)(c) The DOS of Pr-doped La₄MgNi₁₉ (d)(e) The DOS of Nd-doped La₄MgNi₁₉ (f)(g) The DOS of Sm-doped La₄MgNi₁₉ (h)(i) The DOS of Gd-doped La₄MgNi₁₉

4. Conclusion 374

In this study, the first-principles density functional theory 375 method was used to calculate the situation of element 376 substitution of La4MgNi19, and the following conclusions 377 were obtained: First, there is only a small difference between 378 the model obtained after geometric optimization and the 379 experimental data in the literature[8], indicating that the 380 calculated results are highly consistent with the experimental 381 results. Second, for La substitution, the situation of the 382 substitution of other four rare-earth elements (Pr, Nd, Sm and 383 Gd) were investigated. The results of calculation revealed 384 that these four elements preferentially occupy the La(4f) site. 385 And the addition of the four doping elements will reduce the 386 stability of the phase. Among them, Pr substituted La4MgNi19 387 has the highest structural stability. For the substitution of Ni, 388 no matter which position Co occupies, the structural stability 389 will be reduced. Co preferentially occupies the Ni(12k2) site. 390 At the same time, the above stability conclusion is also 391 consistent with the conclusion in the existing literature. Third, 392 the DOS study shows that the system of the overall material 393 still puts up the metallic character. And the valence band 394 width of Sm(La(4f)) becomes wider, which contributes by 395 Sm d and f. The intereaction of Ni d and rare-earth f is very 396 strong, the order of the intensity of interaction is 397 Gd>Sm>Nd>Pr. The element orbitals of the three elements in 398 the La4MgNi19 alloy are extensively hybridized, and the 399 doping of La reduces the phase stability, and according to the 400 difference in energy peak heights, it can be extracted that the 401 stability of four doped alloys from high to low is: Pr, Nd, Sm, 402 Gd. Fourth, according to the calculation of the hydride 403 formation enthalpy of different doping elements, the 404 bounding hydrogen capacity of the system can be obtained as 405 Nb > Sm > Pr > Gd.406

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